FILED: NEW YORK COUNTY CLERK 08/17/2021 03:06 PM INDEX NO. 157709/2021

NYSCEF DOC. NO. 43

RECEIVED NYSCEF: 08/17/2021

## EXHIBIT 37

NO. 4Return of Organization Exempt From Income 平海波 NYSCE FOMB 1894 154528021 Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) ▶ Do not enter social security numbers on this form as it may be made public. Open to Public Department of the ▶ Go to www.irs.gov/Form990 for instructions and the latest information. Inspection Internal Revenue Service For the 2019 calendar year, or tax year beginning 07-01-2019 , and ending 06-30-2020 D Employer identification number B Check if applicable: ECOHEALTH ALLIANCE INC ☑ Address change 31-1726494 ☐ Name change % ARMINE ARUSTAMYAN Doing business as ☐ Initial return ☐ Final return/terminate E Telephone number Number and street (or P.O. box if mail is not delivered to street address) 520 EIGHTh AVENUE Suite 1201 ☐ Amended return (212) 380-4460 □ Application pending City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY  $\,$  10018 G Gross receipts \$ 11,592,929 Name and address of principal officer: H(a) Is this a group return for ARMINE ARUSTAMYAN ☐Yes **☑**No subordinates? 520 EIGHT AVENUE 1201 H(b) Are all subordinates NEW YORK, NY 10018 ☐ Yes ☐No included? Tax-exempt status: **☑** 501(c)(3) □ 501(c)( ) **◄** (insert no.) 4947(a)(1) or If "No," attach a list. (see instructions) **H(c)** Group exemption number ▶ **Website:** ► WWW.ECOHEALTHALLIANCE.ORG L Year of formation: 2000 M State of legal domicile: K Form of organization: ✓ Corporation ☐ Trust ☐ Association ☐ Other ► 1 Briefly describe the organization's mission or most significant activities: PROTECTING GLOBAL HEALTH BY PREVENTING THE OUTBREAK OF EMERGING DISEASES AND SAFEGUARDING ECOSYSTEMS BY PROMOTING CONSERVATION. Activities & Governance Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets Number of voting members of the governing body (Part VI, line 1a) . . . 4 Number of independent voting members of the governing body (Part VI, line 1b) 48 Total number of individuals employed in calendar year 2019 (Part V, line 2a) 5 37 Total number of volunteers (estimate if necessary) . . . 6 7a 0 7a Total unrelated business revenue from Part VIII, column (C), line 12 b Net unrelated business taxable income from Form 990-T, line 39 7b **Prior Year Current Year** 17,703,253 10,641,003 8 Contributions and grants (Part VIII, line 1h) . . 9 Program service revenue (Part VIII, line 2g) . . 60,062 138,843 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) . . 73,715 359,381 109,646 189,478 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 17,946,676 11,328,705 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) Grants and similar amounts paid (Part IX, column (A), lines 1-3). 6,816,476 2,961,560 **14** Benefits paid to or for members (Part IX, column (A), line 4) . 0 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 6,060,055 4,678,057 Expenses 32,000 38,000 16a Professional fundraising fees (Part IX, column (A), line 11e) . b Total fundraising expenses (Part IX, column (D), line 25) ▶335,874 3,645,708 2,349,045 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) . 16,554,239 10,026,662 18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 19 Revenue less expenses. Subtract line 18 from line 12 . 1,392,437 1,302,043 Net Assets or Fund Balances **Beginning of Current Year End of Year** 20 Total assets (Part X, line 16) . 8,579,062 9,676,830 1,882,178 21 Total liabilities (Part X, line 26) . 1,912,654 Net assets or fund balances. Subtract line 21 from line 20 . 7,794,652 Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge 2020-11-15 Signature of officer Sign Here ARMINE ARUSTAMYAN CFO Type or print name and title Date Print/Type preparer's name Preparer's signature PTIN Check | if P01333816 Paid self-employed Firm's name ► BKD LLP Firm's EIN **Preparer** Use Only Firm's address ► 1155 Avenue of the Americas 1200 Phone no. (212) 867-4000 New York, NY 10036 ☑ Yes ☐ No May the IRS discuss this return with the preparer shown above? (see instructions) . For Paperwork Reduction Act Notice, see the separate instructions. Form 990 (2019) Cat. No. 11282Y

| Check if Schedule O contains a response or note to any line in this Part III   | <b>₽№</b> EX NO. 157709/209£12 | 021 03:06 P             |                     | UNTY CLE              |                     | TLED? NEW               |      |
|--|--------------------------------|-------------------------|---------------------|-----------------------|---------------------|-------------------------|------|
| 1 Briefly describe the organization's mission:  ECOHEALTH ALLIANCE INTEGRATES INNOVATIVE SCIENCE-BASED SOLUTIONS AND PARTNERSHIPS THAT INTERRELATED GOALS: PROTECTING GLOBAL HEALTH BY PREVENTING THE OUTBREAK OF EMERGING DISECOSYSTEMS BY PROMOTING CONSERVATION.  2 Did the organization undertake any significant program services during the year which were not listed the prior Form 990 or 990-EZ?   | IVED NYSCEF: 08/17/2021        | RECEIVE                 | nments              | rvice Accomplisi      | of Program Se       | Statement of DOC. NO.   | Pa   |
| ECOHEALTH ALLIANCE INTEGRATES INNOVATIVE SCIENCE-BASED SOLUTIONS AND PARTNERSHIPS THAT INTERRELATED GOALS: PROTECTING GLOBAL HEALTH BY PREVENTING THE OUTBREAK OF EMERGING DIS ECOSYSTEMS BY PROMOTING CONSERVATION.  2 Did the organization undertake any significant program services during the year which were not listed the prior Form 990 or 990-EZ?  | <u> </u>                       |                         | ny line in this Pa  | <u> </u>              |                     |                         |      |
| INTERRELATED GOALS: PROTECTING GLOBAL HEALTH BY PREVENTING THE OUTBREAK OF EMERGING DISECOSYSTEMS BY PROMOTING CONSERVATION.  2 Did the organization undertake any significant program services during the year which were not listed the prior Form 990 or 990-EZ?  |                                |                         |                     | ion:                  | rganization's missi | Briefly describe the or | 1    |
| the prior Form 990 or 990-EZ?  |                                |                         |                     | L HEALTH BY PREVEN    | TECTING GLOBAL      | RELATED GOALS: PRO      | INTE |
| the prior Form 990 or 990-EZ?  |                                |                         |                     |                       |                     |                         |      |
| If "Yes," describe these new services on Schedule O.  Did the organization cease conducting, or make significant changes in how it conducts, any program services?   |                                | hich were not listed on | rices during the y  | nificant program serv | undertake any sigr  | Did the organization u  | 2    |
| Did the organization cease conducting, or make significant changes in how it conducts, any program services?  If "Yes," describe these changes on Schedule O.  Describe the organization's program service accomplishments for each of its three largest program services Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocate expenses, and revenue, if any, for each program service reported.  (Code: ) (Expenses \$ 6,783,855 including grants of \$ 2,627,274 ) (See Additional Data)  (Code: ) (Expenses \$ 1,353,470 including grants of \$ 212,341 ) (See Additional Data)  (Code: ) (Expenses \$ 628,522 including grants of \$ 121,945 ) (See Additional Data) | □Yes ☑No                       |                         |                     |                       | 990-EZ?             | the prior Form 990 or   |      |
| services?  |                                |                         |                     | n Schedule O.         | se new services or  | If "Yes," describe thes |      |
| If "Yes," describe these changes on Schedule O.  Describe the organization's program service accomplishments for each of its three largest program service section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocal expenses, and revenue, if any, for each program service reported.  (Code: ) (Expenses \$ 6,783,855 including grants of \$ 2,627,274 ) (See Additional Data)  (Code: ) (Expenses \$ 1,353,470 including grants of \$ 212,341 ) (See Additional Data)  (Code: ) (Expenses \$ 628,522 including grants of \$ 121,945 ) (See Additional Data)   |                                | ucts, any program       | hanges in how it    | or make significant o | cease conducting,   | Did the organization c  | 3    |
| Describe the organization's program service accomplishments for each of its three largest program service Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocal expenses, and revenue, if any, for each program service reported.  4a (Code: ) (Expenses \$ 6,783,855 including grants of \$ 2,627,274 ) (See Additional Data  | 🗹 Yes 🗌 No                     |                         |                     |                       |                     | services?               |      |
| Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocal expenses, and revenue, if any, for each program service reported.  4a (Code: ) (Expenses \$ 6,783,855 including grants of \$ 2,627,274 ) (See Additional Data  |                                |                         |                     | nedule O.             | se changes on Sch   | If "Yes," describe thes |      |
| See Additional Data  4b (Code: ) (Expenses \$ 1,353,470 including grants of \$ 212,341 ) ( See Additional Data  4c (Code: ) (Expenses \$ 628,522 including grants of \$ 121,945 ) ( See Additional Data  |                                |                         | to report the am    | izations are required | d 501(c)(4) organi  | Section 501(c)(3) and   | 4    |
| See Additional Data  4b (Code: ) (Expenses \$ 1,353,470 including grants of \$ 212,341 ) ( See Additional Data  4c (Code: ) (Expenses \$ 628,522 including grants of \$ 121,945 ) ( See Additional Data  | evenue \$ 78,843 )             | 2,627,274 ) (Revenue    | including grants of | 6.783.855             | ) (Expenses \$      | (Code:                  | 4a   |
| See Additional Data  4c (Code: ) (Expenses \$ 628,522 including grants of \$ 121,945 ) ( See Additional Data   |                                |                         |                     |                       | ) (=::F:::::::::::  | •                       |      |
| 4c (Code: ) (Expenses \$ 628,522 including grants of \$ 121,945 ) ( See Additional Data  | evenue \$ 60,000 )             | 212,341 ) (Revenue      | including grants of | 1,353,470             | ) (Expenses \$      | (                       | 4b   |
| See Additional Data  |                                |                         |                     |                       |                     | See Additional Data     |      |
|  | evenue \$                      | 121,945 ) (Revenue      | including grants of | 628,522               | ) (Expenses \$      | (Code:                  | 4c   |
| Ad Other was are issa (Describe in Cabadula O.)  |                                |                         |                     |                       |                     | See Additional Data     |      |
|  |                                |                         |                     | •                     | es (Describe in Sc  | · -                     | 4d   |
| (Expenses \$ including grants of \$ ) (Revenue \$  | )                              | ) (Revenue \$           | \$                  | including grants of   |                     | (Expenses \$            |      |
| 4e     Total program service expenses ►     8,765,847  |                                |                         | 17                  | 8,765,84              | ice expenses ▶      | Total program servi     | 4e   |

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|-----|---|------------|---------------|-------------------|
| Pal | Checklist of Required Schedules  RECEIVED NYSCE   | F: 0       | 8/17/<br>Yes  | (2021<br>No       |
| 1   | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> 2  | 1          | Yes           |                   |
| 2   | Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 🐿   | 2          | Yes           |                   |
| 3   | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I  | 3          |               | No                |
| 4   | <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>  | 4          |               | No                |
| 5   | Is the organization a section $501(c)(4)$ , $501(c)(5)$ , or $501(c)(6)$ organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III   | 5          |               | No                |
| 6   | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D</i> ,Part   91  | 6          |               | No                |
| 7   | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II  | 7          |               | No                |
| 8   | Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes,"</i> complete Schedule D, Part III  | 8          |               | No                |
| 9   | Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 2           | 9          |               | No                |
| 10  | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V  | 10         |               | No                |
| 11  | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, VIII, IX, or $X$ as applicable.  |            |               |                   |
|     | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.  | 11a        | Yes           |                   |
|     | Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 2   | 11b        |               | No                |
|     | Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII   | 11c        |               | No                |
| d   | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX "  | 11d        |               | No                |
| е   | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 🕏   | 11e        |               | No                |
| f   | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X  | 11f        |               | No                |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete   | 10-        |               | N-                |
| b   | Schedule D, Parts XI and XII 29   | 12a<br>12b | Yes           | No                |
| 13  | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E   | 13         |               | No                |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States?   | 14a        | Yes           | 110               |
| b   | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV | 14b        | Yes           |                   |
| 15  | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV  | 15         | Yes           | ,                 |
| 16  | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV  | 16         | Yes           |                   |
| 17  | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)   | 17         | Yes           |                   |
| 18  | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II  | 18         | Yes           |                   |
| 19  | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III  | 19         |               | No                |
|     | Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H   | 20a        |               | No                |
| b   | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?  | 20b        |               |                   |
| 21  | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II   | 21         | Yes           | 0 (2015)          |
|     |   | F          | orm <b>99</b> | <b>0</b> (2019)   |

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| Pai<br>N. | Checklist of Required Schedules (continued)  RECEIVED NYSCE  | <del>F: 9</del> | 8/17/<br>Yes | 2021<br>No                |
| 22        | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX,   | 22              |              | No                        |
| 23        | column (A), line 2? If "Yes," complete Schedule I, Parts I and III   | 23              | Yes          |                           |
|           | Schedule J   |                 |              |                           |
| 24a       | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a   | 24a             |              | No                        |
| b         | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?  | 24b             |              |                           |
| c         | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?   | 24c             |              |                           |
| d         | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?  | 24d             |              |                           |
| 25a       | Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I   | 25a             |              | No                        |
| b         | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>   | 25b             |              | No                        |
| 26        | Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II  | 26              |              | No                        |
| 27        | Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> | 27              |              | No                        |
| 28        | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):  |                 |              |                           |
| а         | A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i>  | 28a             |              | No                        |
| b         | A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV  | 28b             |              | No                        |
| С         | A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i>   | 28c             |              | No                        |
| 29        | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M 🐒   | 29              | Yes          |                           |
| 30        | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>  | 30              |              | No                        |
| 31        | Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I   | 31              |              | No                        |
| 32        | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II   | 32              |              | No                        |
| 33        | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I   | 33              |              | No                        |
| 34        | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1   | 34              | Yes          |                           |
| 35a       | Did the organization have a controlled entity within the meaning of section 512(b)(13)?  | 35a             |              | No                        |
| b         | If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line $2$  | 35b             |              |                           |
| 36        | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2   | 36              |              | No                        |
| 37        | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI  | 37              |              | No                        |
| 38        | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O  | 38              | Yes          |                           |
| Pa        | Statements Regarding Other IRS Filings and Tax Compliance  | '               |              |                           |
|           | Check if Schedule O contains a response or note to any line in this Part V   | <del></del>     | Yes          | □<br>No                   |
| 1a        | Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable   1a   9  |                 | 165          | 110                       |
| b         | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable . 1b 0   |                 |              |                           |
| C         | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?   | 1c              |              |                           |

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|     | F Statements Regarding Other IRS Filings and Tax Compliance (continued) NYSCE NO. 43   | F: 0       | 8/17/ | 2021      |
| 2a  | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return  | 3          |       |           |
| b   | If at least one is reported on line 2a, did the organization file all required federal employment tax returns?  Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)  | 2b         | Yes   |           |
| 3a  | Did the organization have unrelated business gross income of \$1,000 or more during the year?  | 3a         |       | No        |
| b   | If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O  | 3b         |       |           |
|     | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?  If "Yes," enter the name of the foreign country: | 4a         |       | No        |
|     | See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).  |            |       |           |
|     | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?  | 5a         |       | No        |
| b   | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?   | 5b         |       | No        |
|     | If "Yes," to line 5a or 5b, did the organization file Form 8886-T?   | 5с         |       |           |
| 6a  | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?  | 6a         |       | No        |
|     | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?  | <b>6</b> b |       |           |
| 7   | Organizations that may receive deductible contributions under section 170(c).  |            |       |           |
|     | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?  | 7a         |       | No        |
|     | If "Yes," did the organization notify the donor of the value of the goods or services provided?  | 7b         |       |           |
|     | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?   | 7c         |       | No        |
|     | If "Yes," indicate the number of Forms 8282 filed during the year  | -          |       |           |
| е   | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  | 7e         |       | No        |
| f   | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?   | 7f         |       | No        |
|     | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?   | 7g         |       |           |
| h   | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?   | 7h         |       |           |
| 8   | <b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?   | 8          |       |           |
| 9   | Sponsoring organizations maintaining donor advised funds.  |            |       |           |
| а   | Did the sponsoring organization make any taxable distributions under section 4966?   | 9a         |       |           |
| b   | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?  | 9b         |       |           |
| 10  | Section 501(c)(7) organizations. Enter:  |            |       |           |
| а   | Initiation fees and capital contributions included on Part VIII, line 12 10a   |            |       |           |
| b   | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities  | 1          |       |           |
| 11  | Section 501(c)(12) organizations. Enter:   |            |       |           |
| a   | Gross income from members or shareholders  |            |       |           |
| b   | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)   |            |       |           |
| 12a | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?   | 12a        |       |           |
| b   | If "Yes," enter the amount of tax-exempt interest received or accrued during the year.   |            |       |           |
| 13  | Section 501(c)(29) qualified nonprofit health insurance issuers.   | 1          |       |           |
| а   | Is the organization licensed to issue qualified health plans in more than one state?   | 13a        |       |           |
| b   | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans  |            |       |           |
| c   | Enter the amount of reserves on hand   |            |       |           |
|     | Did the organization receive any payments for indoor tanning services during the tax year?   | 14a        |       | No        |
|     | If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O  | 14b        |       |           |
| 15  | Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?   | 15         |       | No        |
| 16  | If "Yes," complete Form 4720, Schedule O.  Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.   | 16         |       | No        |
|     |  |            |       | 0 (2010)  |

| T)                    | THED! NEW YORK COUNTY CLERK 08/17/2021 03:06 PMPEX NO  |            |        |              |
|-----------------------|--|------------|--------|--------------|
| Pai                   | Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No<br>F8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. NYSCE               | " respo    | nse to | ines<br>2021 |
|                       | Check if Schedule O contains a response or note to any line in this Part VI  |            | • •    | <b>V</b>     |
| Se                    | ction A. Governing Body and Management   |            |        |              |
|                       |  |            | Yes    | No           |
| 1a                    | Enter the number of voting members of the governing body at the end of the tax year   1a   23  |            |        |              |
|                       | If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.                          |            |        |              |
| b                     | Enter the number of voting members included in line 1a, above, who are independent   |            |        |              |
| 2                     | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other   |            |        |              |
| 3                     | officer, director, trustee, or key employee?   | 3          |        | No<br>No     |
| 4                     | of officers, directors or trustees, or key employees to a management company or other person?  Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?                            | 4          |        | No           |
| 5                     | Did the organization become aware during the year of a significant diversion of the organization's assets?   | 5          |        | No           |
| 6                     | Did the organization have members or stockholders?   | 6          |        | No           |
|                       | •  | $\vdash$   |        | INO          |
|                       | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?   | 7a         |        | No           |
| b                     | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?  | 7b         |        | No           |
| 8                     | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:  |            |        |              |
| а                     | The governing body?  | 8a         | Yes    |              |
| b                     | Each committee with authority to act on behalf of the governing body?  | 8b         | Yes    | _            |
|                       | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the   |            |        |              |
| -                     | organization's mailing address? If "Yes," provide the names and addresses in Schedule O  | 9          |        | No           |
| Se                    | ction B. Policies (This Section B requests information about policies not required by the Internal Revenue   | e Code     | e.)    | _            |
|                       |  |            | Yes    | No           |
| L0a                   | Did the organization have local chapters, branches, or affiliates?   | 10a        |        | No           |
| b                     | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?                 | 10b        |        |              |
| l1a                   | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?  | 11a        | Yes    |              |
| h                     | Describe in Schedule O the process, if any, used by the organization to review this Form 990   |            |        |              |
|                       | Did the organization have a written conflict of interest policy? If "No," go to line 13  | 12a        | Yes    |              |
|                       | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to   | 124        | 163    |              |
|                       | conflicts?   | 12b        | Yes    |              |
| С                     | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done   | 12c        | Yes    |              |
| L3                    | Did the organization have a written whistleblower policy?  | 13         | Yes    |              |
| L <b>4</b>            | Did the organization have a written document retention and destruction policy?   | 14         | Yes    |              |
| L5                    | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?                       |            |        |              |
| а                     | The organization's CEO, Executive Director, or top management official   | 15a        | Yes    |              |
| b                     | Other officers or key employees of the organization  | 15b        |        | No           |
|                       |  |            |        |              |
|                       | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).  | l          |        |              |
| L6a                   | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).  Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a        |        | No           |
|                       | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  | 16a        |        | No           |
|                       | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  | 16a        |        | No           |
| b                     | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  |            |        | No           |
| b<br><b>S</b> e       | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  | 16b        |        |              |
| b<br><b>S</b> e       | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  | <b>16b</b> |        | (S , KY      |
| ь<br><b>S</b> е<br>l7 | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  | <b>16b</b> |        | (S , KY      |
| ь<br><b>S</b> е<br>l7 | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  | <b>16b</b> |        | (S , KY      |
| 5e                    | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  | <b>16b</b> |        | (S , KY      |
| b                     | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  | <b>16b</b> |        | (S , KY      |

| OF THED NEW YORK CO  | DUNTY C                   | LER:                              | K_(           | 28       | /1             | 7/2                            | 20     | 21 03:06                 | <b>DM</b> EX NO.              | 157709/2017                         |
|--|---------------------------|-----------------------------------|---------------|----------|----------------|--------------------------------|--------|--------------------------|-------------------------------|-------------------------------------|
| Part VII Compensation of Officers  NISCEF and Independent Contra   | s, Directors,<br>ctors    | Truste                            | es,           | Key      | En             | iploy                          | ees    | , Highest Comp<br>RECEI  | ensated Employ<br>VED NYSCEF: | <b>/ees,</b><br>08 <b>/</b> 17/2021 |
| Check if Schedule O contains a   | response or no            | te to an                          | y line        | in t     | his l          | Part VI                        | ١.     |                          |                               | 🗆                                   |
| Section A. Officers, Directors, Tru  | stees, Key E              | mploy                             | ees,          | , an     | d H            | ighe                           | st C   | ompensated En            | nployees                      |                                     |
| La Complete this table for all persons require<br>rear.  | ed to be listed.          | Report o                          | comp          | ensa     | ition          | for th                         | e ca   | lendar year ending       | with or within the o          | rganization's tax                   |
| <ul> <li>List all of the organization's current off<br/>of compensation. Enter -0- in columns (D), (</li> </ul>  |                           |                                   |               |          |                |                                | als o  | or organizations), re    | gardless of amount            |                                     |
| • List all of the organization's current key   |                           | •                                 |               |          |                |                                |        |                          |                               |                                     |
| <ul> <li>List the organization's five current higher<br/>who received reportable compensation (Box<br/>organization and any related organizations.</li> </ul>                                |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
| <ul> <li>List all of the organization's former office<br/>of reportable compensation from the organization</li> </ul>  |                           |                                   |               |          |                | pensat                         | ed e   | mployees who rece        | ived more than \$10           | 0,000                               |
| <ul> <li>List all of the organization's former directions</li> <li>granization, more than \$10,000 of reportabilities</li> <li>granization, more than \$10,000 of reportabilities</li> </ul> | le compensation           | n from t                          |               |          |                |                                |        |                          |                               | e                                   |
| $\Box$ Check this box if neither the organization  | •                         |                                   | viz a tic     | n c      | mn             | ancata                         | dar    | v current officer di     | ractor or tructoo             |                                     |
| (A)  | (B)                       | u organ                           | lizatio       | (C)      |                | ensate                         | u ai   | (D)                      | (E)                           | (F)                                 |
| Name and title   | Average                   | Positio                           |               | o not    | t che          |                                |        | Reportable               | Reportable                    | Estimated                           |
|  | hours per<br>week (list   | than o                            |               |          |                | s pers<br>and a                |        | compensation<br>from the | compensation<br>from related  | amount of other compensation        |
|  | any hours                 | •                                 | direct        | or/t     | rust           | ee)                            |        | organization             | organizations<br>(W-2/1099-   | from the                            |
|  | for related organizations | ind<br>or a                       | =             | Office   | X <sub>0</sub> | en a                           | ξ      | (W-2/1099-<br>MISC)      | (W-2/1099-<br>MISC)           | organization and related            |
|  | below dotted<br>line)     | ivid<br>dinek                     | Institutional | <u>0</u> | Key employee   | Dies<br>Dies                   | Former |                          |                               | organizations                       |
|  |                           | ual :                             | tion          |          | ોવા            | 6<br>6<br>8                    | ,      |                          |                               |                                     |
|  |                           | Individual trustee<br>or director | 31 Tr         |          | èev            | Highest compensati<br>employee |        |                          |                               |                                     |
|  |                           | r de                              | Truste        |          |                | êns:                           |        |                          |                               |                                     |
|  |                           |                                   | T.            |          |                | ated                           |        |                          |                               |                                     |
| See Additional Data Table  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               |                                     |
|  |                           |                                   |               |          |                |                                |        |                          |                               | E 000 (2010)                        |

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| FOR THED NEW YORK C   | OUNTY C  | LER                               | K                     | 0.8                                    | /1                                   | L7/                          | 20         | 21 (                       | 03:06                                       | <b>PM</b> PEX NO   | . 1     | 57709/                                      | / 200 gel 8                       |
|---|--|-----------------------------------|-----------------------|--|--------------------------------------|------------------------------|------------|----------------------------|---|--|---------|---|-----------------------------------|
| Part VII Section A. Officers, Direct NISCLF DOC. NO. 43   | tors, Trustees   | , Key I                           | mpl                   | oye                                    | ės,                                  | and I                        | High       | est Co                     | mpensate                                    | d Employees  | (cont   | inued)                                      | <del>/2021</del>                  |
| (A) Name and title  | (B) Average hours per week (list any hours for related | Position<br>than of<br>is b       | n (do                 | (C)<br>o not<br>ox, u<br>n off<br>or/t | )<br>t che<br>unles<br>ficer<br>rust | eck moss pers<br>and a       | ore<br>son | Rep<br>comp<br>fro<br>orga | (D) ortable ensation m the nization 2/1099- | (E)  Reportable compensation from related organizations (W-2/1099- | n       | Estima amount of compensions from organizat | ated<br>of other<br>sation<br>the |
|   | organizations<br>below dotted<br>line)                 | Individual trustee<br>or director | Institutional Trustee | Officer                                | Key employee                         | Highest compensated employee | Former     |                            | isc)  | ` Misc)  |         | relat<br>organiza                           |                                   |
| See Additional Data Table   |  |                                   |                       |  |                                      |                              |            |                            |   |  |         |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  |         |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  | $\top$  |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  |         |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  |         |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  |         |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  | $\perp$ |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  | 4       |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  | $\perp$ |   |                                   |
| 1b Sub-Total  |  |                                   |                       |  |                                      | <u> </u><br>▶                |            |                            |   |  | $\perp$ |   |                                   |
| c Total from continuation sheets to P   | art VII, Section                                       | Α                                 |                       |  |                                      |                              |            |                            |   |  |         |   |                                   |
| d Total (add lines 1b and 1c)  Total number of individuals (including of reportable compensation from the | g but not limited                                      | to thos                           |                       |  | bove                                 | e) who                       | rece       |                            | 852,812<br>ore than \$1                     | 00,000   | 0       |   | 417,144                           |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  |         | Yes   | No                                |
| 3 Did the organization list any <b>former</b> line 1a? <i>If "Yes," complete Schedule</i> .               |  |                                   |                       | •                                      |                                      | oyee, o                      |            | -                          | mpensated<br>• • •                          | employee on  | 3       |   | No                                |
| 4 For any individual listed on line 1a, is organization and related organization                          |  |                                   |                       |  |                                      |                              |            |                            |   | the  |         |   |                                   |
| individual  | ve or accrue cor                                       | • •<br>npensat                    | ion fr                | ·<br>om                                | •<br>anv                             | unrela                       | ated       | • •<br>organiza            | · ·   | · · · · · · · · · · · · · · · · · · ·                              | 4       | Yes   |                                   |
| services rendered to the organization   |  |                                   |                       |  |                                      |                              |            |                            |   |  | 5       |   | No                                |
| Section B. Independent Contract  Complete this table for your five high                                   |  | d inden                           | nder                  | nt co                                  | ntra                                 | ectors (                     | thə+       | received                   | more than                                   | \$100 000 of co  | nner    | sation                                      |                                   |
| from the organization. Report compe   | nsation for the c                                      |                                   |                       |  |                                      |                              |            |                            |   | ı's tax year.  | pen     |   |                                   |
| Name :  | (A)<br>and business addre                              | ess                               |                       |  |                                      |                              |            |                            |   | (B) ription of services OFESSIONAL                                 |         | (C<br>Comper                                |                                   |
| 24130 SHOOTING STAR DR<br>GOLDEN, CO 80401  |  |                                   |                       |  |                                      |                              |            |                            | SCIENCE PR                                  | OFESSIONAL   |         |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  |         |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  |         |   |                                   |
| 2 Total number of independent contractor compensation from the organization                               |  | not lim                           | ited t                | o th                                   | ose                                  | listed                       | abov       | ve) who i                  | received me                                 | ore than \$100,00  | 00 of   |   |                                   |
|   |  |                                   |                       |  |                                      |                              |            |                            |   |  |         | Form 99                                     | <b>0</b> (2019)                   |

| Folia :   |  | COUN'           | TY CLERK                | 08/17/20                                       | 21 03:06                               | EX NO.   | 157709/2°09£19   |
|---|--|-----------------|-------------------------|--|--|--|--|
| IN Y  | Statement of Revenue<br>SCEF DOC. NO. 43<br>Check if Schedule O contain                  | e<br>ns a respo | onse or note to any     | line in this Part VIII                         | RECE:                                  | IVED NYSCEF:                                   | 08/17/2021   |
|   |  |                 |                         | <b>(A)</b><br>Total revenue                    | (B) Related or exempt function revenue | <b>(C)</b><br>Unrelated<br>business<br>revenue | (D) Revenue excluded from tax under sections 512 - 514 |
|   | 1a Federated campaigns   | 1a              |                         | L  | revenue                                |  | 312 314  |
| ons, Gifts, Grants<br>Similar Amounts                     | <b>b</b> Membership dues   | <b>1</b> b      |                         |  |  |  |  |
| G   | <b>c</b> Fundraising events  | <b>1</b> c      | 196,935                 |  |  |  |  |
| iffs,<br>ar A   | d Related organizations  | 1d              |                         |  |  |  |  |
| s, G  | e Government grants (contributions)  |                 | 7,555,383               |  |  |  |  |
| Contributions, Gifts, Grants<br>and Other Similar Amounts | f All other contributions, gifts, grants and similar amounts not included                | s,   1f         | 2,888,685               |  |  |  |  |
| tributio<br>Other   | above <b>g</b> Noncash contributions included in   |                 |                         |  |  |  |  |
| Contra  | lines 1a - 1f:\$   | <b>1</b> g      | 103,789                 |  |  |  |  |
| <u>ರ ಕ</u>  | h Total. Add lines 1a-1f   |                 | >                       | 10,641,003                                     |  |  |  |
|   | - CEDITION SEC   |                 | Business Code           | 138,843  | 138,843                                |  |  |
| <u> </u>  | 2a SERVICE FEES  |                 | 541700                  | 222,212  |  |  |  |
| Venu  | b  |                 |                         |  |  |  |  |
| Program Service Revenue                                   |  |                 |                         |  |  |  |  |
| rvice   | С  |                 |                         |  |  |  |  |
| 38  | d  |                 |                         |  |  |  |  |
| gran  |  |                 |                         |  |  |  |  |
| æ   |  |                 | -                       |  |  |  |  |
|   | <b>f</b> All other program service rever   |                 |                         |  |  |  |  |
|   | 9 Total. Add lines 2a-2f   |                 | 138,843                 | 1  | Ι                                      | T  |  |
|   | ′  |                 | •                       |  |  |  | 87,172   |
|   | 4 Income from investment of tax-6  | ·               |                         | 0  |  |  |  |
|   |  | Real            | (ii) Personal           | · <u>                                     </u> |  |  | _  |
|   |  |                 | (.,,                    |  |  |  |  |
|   | 6a Gross rents b Less: rental  |                 |                         | _  |  |  |  |
|   | expenses 6b  |                 |                         |  |  |  |  |
|   | c Rental income or (loss) 6c   | (               |                         | 0  |  |  |  |
|   | d Net rental income or (loss) .  |                 |                         | 0  |  |  |  |
|   |  | curities        | (ii) Other              |  |  |  |  |
|   | 7a Gross amount from sales of assets other than inventory                                | 485,067         | 2                       |  |  |  |  |
|   | b Less: cost or other basis and sales expenses 7b  | 167,87          | 5 44,978                | 3  |  |  |  |
|   | c Gain or (loss) 7c  | 317,18          | -44,978                 |  |  |  |  |
|   | d Net gain or (loss)  8a Gross income from fundraising event                             |                 | · · · •                 | 272,209  |  |  | 272,209  |
| Other Revenue   | (not including \$ 196,935<br>contributions reported on line 1c).<br>See Part IV, line 18 | of              | 0                       |  |  |  |  |
| . Re  | <b>b</b> Less: direct expenses   | . 8b            | 51,371                  |  |  |  |  |
| ther  | c Net income or (loss) from fundi  | raising ev      | ents •                  | -51,371  |  |  | -51,371  |
|   | <b>9a</b> Gross income from gaming activit See <b>Part</b> IV, line 19                   |                 |                         |  |  |  |  |
|   | <b>b</b> Less: direct expenses   | 9a<br>. 9b      | 0                       |  |  |  |  |
|   | c Net income or (loss) from gami   | • 🗀             | ies 🕨                   | 0  |  |  |  |
|   | <b>10a</b> Gross sales of inventory, less  |                 |                         |  |  |  |  |
|   | returns and allowances   | 10a             | 0                       |  |  |  |  |
|   | <b>b</b> Less: cost of goods sold  | 10b             | 0                       | _  |  |  |  |
|   | c Net income or (loss) from sales  | of invent       |                         | 0  |  |  |  |
|   | Miscellaneous Revenue  11aLICENSE  |                 | Business Code<br>900099 | 9 13,433                                       |  |  | 13,433   |
|   |  |                 |                         |  |  |  |  |
|   | b PUBLICATIONS   |                 | 900099                  | 26,767   |  |  | 26,767   |
|   | c TOUR   |                 | 900099                  | 9 25,800                                       |  |  | 25,800   |
|   |  |                 |                         |  |  |  |  |
|   | d All other revenue  |                 |                         | 174,849  |  |  | 174,849  |
|   | e Total. Add lines 11a-11d .   |                 | •                       | 240,849  |  |  |  |
|   | 12 Total revenue. See instruction  | 15              | •                       | 11,328,705                                     | 138,843                                | 3  | 548,859<br>Form <b>990</b> (2019)                      |

| Check   Fisheduck   Check   School (College) and support some complete all columns. All other organization with considered column (A)   Program services   Program  | FOR THE NEW YORK COUNTY CLER   | K 08/17/2            | 021 03:06              | EX NO.        | 157709/P <b>29</b> e2 <b>10</b> |
|--|--|----------------------|------------------------|---------------|---------------------------------|
| Check if Schedule Q contains a response or note to any line in this Part IX   CO   Do not include amounts reported on lines 6b,   CO   To, 8, 9, 9b, and 10b of Part VIII.   Total azignmos   Part VIII.   Part VIII.   Total azignmos   Part VIII.   Par | Part IX Statement of Functional Expenses   | complete all columns | All other erganization | LIVED NYSCEF: | <u>08/17/2021</u>               |
| Do not Include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.         Co (a) Programments. See Part IV, line 21 (a) Programment (a) Programme                               |  |                      |                        |               | uniii (A).                      |
| Trans expenses   |  |                      | (B)                    | (C)           |                                 |
| Carsins and other assistance to discussion   Carsins and other assistance to discussion   Carsins and other assistance to foreign organizations, foreign governments, and foreign individues. See Part IV, lines 15 and 16.   Carsins and other assistance to foreign organizations, foreign governments, and foreign individues. See Part IV, lines 15 and 16.   Compersation of current officers, directors, trustees, and key employees   Carsins and transport of current officers, directors, trustees, and key employees   Carsins and transport of current officers, directors, trustees, and key employees   Carsins and vages   Carsins | 7b, 8b, 9b, and 10b of Part VIII.  | Total expenses       |                        |               |                                 |
| Part N, line 22  | 1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21             | 754,493              | 754,493                |               |                                 |
| governments, and foreign individuals. See Part IV, lines 15 and 15   |  | 0                    |                        |               |                                 |
| 1,531,252  | governments, and foreign individuals. See Part IV, lines 15  | 2,207,067            | 2,207,067              |               |                                 |
| Rey employees  | <b>4</b> Benefits paid to or for members   | 0                    |                        |               |                                 |
| Section 4958(7(31)8)   198,484   126,278   198,484   126,278   198,484   126,278   198,484   126,278   198,484   126,278   198,484   126,278   198,484   126,278   198,484   126,278   198,484   136,278   198,484   136,278   198,484   136,278   198,484   136,278   198,484   136,278   198,484   136,278   198,484   136,278   198,484   136,278   198,484   136,278   198,484   136,278   1 |  | 1,531,252            | 1,189,755              | 341,497       |                                 |
| Pension plan accruals and contributions (include section 401 (k) and 493(b) employer contributions)   165,554   143,020   13,947   9,587 (k) and 493(b) employer contributions)   481,271   388,444   60,849   31,978   10 Payroll taxes   240,285   198,713   32,818   8,754   11 Feas for services (non-employees):  | defined under section $4958(f)(1)$ ) and persons described in  | 0                    |                        |               |                                 |
| (k) and 403(b) employer contributions) .   | <b>7</b> Other salaries and wages  | 2,258,695            | 1,933,933              | 198,484       | 126,278                         |
| 10 Payroll taxes   |  | 166,554              | 143,020                | 13,947        | 9,587                           |
| 11 Fees for services (non-employees): a Management 0 0 1 b Legal 1, 15,979 12,125 644 3,210 c Accounting 73,630 53,188 20,442 d Lobbying 0 0   | 9 Other employee benefits  | 481,271              | 388,444                | 60,849        | 31,978                          |
| a Management   | <b>10</b> Payroll taxes  | 240,285              | 198,713                | 32,818        | 8,754                           |
| b Legal  | 11 Fees for services (non-employees):  |                      |                        |               |                                 |
| c Accounting   | a Management   | 0                    |                        |               |                                 |
| d Lobbying   | <b>b</b> Legal   | 15,979               | 12,125                 | 644           | 3,210                           |
| e Professional fundraising services. See Part IV, line 17 f Investment management fees   | c Accounting   | 73,630               | 53,188                 | 20,442        |                                 |
| File   Investment management fees   20,442   30,442     | <b>d</b> Lobbying  | 0                    |                        |               |                                 |
| g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)  2 Advertising and promotion  | e Professional fundraising services. See Part IV, line 17  | 38,000               |                        |               | 38,000                          |
| (A) amount, list line 11g expenses on Schedule O)       0  | f Investment management fees   | 20,442               |                        | 20,442        |                                 |
| 13 Office expenses     189,885     152,851     8,017     29,017       14 Information technology     128,494     113,555     4,933     10,006       15 Royalties     0  |  | 514,527              | 474,786                |               | 39,741                          |
| 14 Information technology       128,494       113,555       4,933       10,006         15 Royalties       0  | 12 Advertising and promotion   | 0                    |                        |               |                                 |
| 15 Royalties   | 13 Office expenses   | 189,885              | 152,851                | 8,017         | 29,017                          |
| 16 Occupancy   | 14 Information technology  | 128,494              | 113,555                | 4,933         | 10,006                          |
| 17 Travel  | <b>15</b> Royalties  | 0                    |                        |               |                                 |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials .  19 Conferences, conventions, and meetings   | <b>16</b> Occupancy  | 679,038              | 578,010                | 67,904        | 33,124                          |
| Federal, state, or local public officials   138,626   102,593   33,133   2,900   | <b>17</b> Travel   | 505,855              | 411,511                | 91,141        | 3,203                           |
| 20 Interest  |  | 0                    |                        |               |                                 |
| 21 Payments to affiliates  | <b>19</b> Conferences, conventions, and meetings   | 138,626              | 102,593                | 33,133        | 2,900                           |
| 22 Depreciation, depletion, and amortization   | <b>20</b> Interest   | 2,618                |                        | 2,618         |                                 |
| 23 Insurance   | 21 Payments to affiliates  | 0                    |                        |               |                                 |
| 24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)  a FIELD COSTS  33,623  b MISCELLANEOUS  20,437  18,180  2,181  76  c  d  e All other expenses  25 Total functional expenses. Add lines 1 through 24e  26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☐ if following SOP 98-2 (ASC 958-720).  | 22 Depreciation, depletion, and amortization   | 25,891               |                        | 25,891        |                                 |
| miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)  a FIELD COSTS  33,623  33,623  33,623  b MISCELLANEOUS  20,437  18,180  2,181  76  c  d  e All other expenses  25 Total functional expenses. Add lines 1 through 24e  26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.  Check here ▶ ☐ if following SOP 98-2 (ASC 958-720).   | 23 Insurance   | 0                    |                        |               |                                 |
| a FIELD COSTS  b MISCELLANEOUS  20,437  18,180  2,181  76  c  d e All other expenses  Total functional expenses. Add lines 1 through 24e  10,026,662  33,623  33,623  2,181  76  76  All other expenses  10,026,662  8,765,847  924,941  335,874  26  Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☐ if following SOP 98-2 (ASC 958-720).   | miscellaneous expenses in line 24e. If line 24e amount<br>exceeds 10% of line 25, column (A) amount, list line 24e |                      |                        |               |                                 |
| b MISCELLANEOUS  20,437  18,180  2,181  76  c  d e All other expenses  Total functional expenses. Add lines 1 through 24e  10,026,662  335,874  26  Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☐ if following SOP 98-2 (ASC 958-720).   | · · · · · · · · · · · · · · · · · · ·  | 33.623               | 33,623                 |               |                                 |
| c d e All other expenses  Total functional expenses. Add lines 1 through 24e 10,026,662 8,765,847 924,941 335,874  Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☐ if following SOP 98-2 (ASC 958-720).  |  | ·                    | ·                      |               |                                 |
| d  | b MISCELLANEOUS  | 20,437               | 18,180                 | 2,181         | 76                              |
| e All other expenses  25 Total functional expenses. Add lines 1 through 24e 10,026,662 8,765,847 924,941 335,874  26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.  Check here ▶ ☐ if following SOP 98-2 (ASC 958-720).   | c  |                      |                        |               |                                 |
| Total functional expenses. Add lines 1 through 24e 10,026,662 8,765,847 924,941 335,874  26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.  Check here ▶ ☐ if following SOP 98-2 (ASC 958-720).  | d  |                      |                        |               |                                 |
| 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.  Check here ► ☐ if following SOP 98-2 (ASC 958-720).   | e All other expenses   |                      |                        |               |                                 |
| reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.  Check here ▶ ☐ if following SOP 98-2 (ASC 958-720).   | 25 Total functional expenses. Add lines 1 through 24e  | 10,026,662           | 8,765,847              | 924,941       | 335,874                         |
|  | reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.              |                      |                        |               |                                 |
|  | Check here ► ☐ if following SOP 98-2 (ASC 958-720).  |                      |                        |               |                                 |

|               |       |   | LEF      | RK 08/17/20               | 21 03:06 ₱M                     | PEX      | NO. 157709/P20211         |
|---------------|-------|---|----------|---------------------------|---------------------------------|----------|---------------------------|
| Pa<br>N       | art X | Balance Sheet<br>EF DOC. NO. 43   |          | <u> </u>                  | RECEIVED                        | ,<br>NYS | SCEF: 08/17/2021          |
|               |       | Check if Schedule O contains a response or not  | e to an  | y line in this Part IX .  |                                 |          | <u> </u>                  |
|               |       |   |          |                           | <b>(A)</b><br>Beginning of year |          | <b>(B)</b><br>End of year |
|               | 1     | Cash-non-interest-bearing   |          |                           | 1,135,907                       | 1        | 4,030,966                 |
|               | 2     | Savings and temporary cash investments  |          |                           | 159,799                         | 2        | 369,734                   |
|               | 3     | Pledges and grants receivable, net  |          |                           | 3,399,017                       | 3        | 1,700,830                 |
|               | 4     | Accounts receivable, net  |          |                           | 0                               | 4        | 0                         |
|               | 5     | Loans and other payables to any current or form<br>key employee, creator or founder, substantial co-<br>entity or family member of any of these persons | ontribu  | tor, or 35% controlled    | 0                               | 5        | 0                         |
|               | 6     | Loans and other receivables from other disqualif section $4958(f)(1)$ ), and persons described in se  | fied pe  | rsons (as defined under   | 0                               | 6        | 0                         |
| s             | 7     | Notes and loans receivable, net   |          |                           | 0                               | 7        | 0                         |
| et            | 8     | Inventories for sale or use   |          |                           | 0                               | 8        | 0                         |
| Assets        | 9     | Prepaid expenses and deferred charges   |          |                           | 490,468                         | 9        | 429,201                   |
| _             | 10a   | Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D   | 10a      | 54,059                    |                                 |          |                           |
|               | ь     | Less: accumulated depreciation  | 10b      | 16,709                    | 96,909                          | 10c      | 37,350                    |
|               | 11    | Investments—publicly traded securities .  |          |                           | 3,263,629                       | 11       | 3,108,749                 |
|               | 12    | Investments—other securities. See Part IV, line   | 11 .     |                           | 0                               | 12       | 0                         |
|               | 13    | Investments—program-related. See Part IV, line  | 11 .     | •                         | 0                               | 13       | 0                         |
|               | 14    | Intangible assets   |          |                           | 0                               | 14       | 0                         |
|               | 15    | Other assets. See Part IV, line 11  |          |                           | 33,333                          | 15       | 0                         |
|               | 16    | Total assets. Add lines 1 through 15 (must equ  | ual line | 34)                       | 8,579,062                       | 16       | 9,676,830                 |
|               | 17    | Accounts payable and accrued expenses   |          |                           | 949,417                         | 17       | 534,778                   |
|               | 18    | Grants payable  |          |                           | 0                               | 18       | 0                         |
|               | 19    | Deferred revenue  |          |                           | 798,237                         | 19       | 608,539                   |
|               | 20    | Tax-exempt bond liabilities   |          |                           | 0                               | 20       | 0                         |
| Ś             | 21    | Escrow or custodial account liability. Complete P   | art IV   | of Schedule D             | 0                               | 21       | 0                         |
| Liabilities   | 22    | Loans and other payables to any current or form employee, creator or founder, substantial contril or family member of any of these persons              | butor,   | or 35% controlled entity  | 0                               | 22       | 0                         |
| Ξ             | 23    | Secured mortgages and notes payable to unrela   | ted thi  | rd parties                | 0                               | 23       | 0                         |
|               | 24    | Unsecured notes and loans payable to unrelated  |          | ·                         | 0                               | 24       | 738,861                   |
|               | 25    | Other liabilities (including federal income tax, pa<br>and other liabilities not included on lines 17 - 24<br>Complete Part X of Schedule D             | ayables  | to related third parties, | 165,000                         | 25       | 0                         |
|               | 26    | <b>Total liabilities.</b> Add lines 17 through 25 .   |          |                           | 1,912,654                       | 26       | 1,882,178                 |
| Fund Balances | 27    | Organizations that follow FASB ASC 958, checomplete lines 27, 28, 32, and 33.  Net assets without donor restrictions                                    | eck h    | ere ▶ ☑ and<br>           | 5,528,859                       | 27       | 6,032,874                 |
| Ba            | 28    | Net assets with donor restrictions  |          |                           | 1,137,549                       | 28       | 1,761,778                 |
| r Fund        |       | Organizations that do not follow FASB ASC complete lines 29 through 33.   | 958, c   | heck here ▶ 🗌 and         |                                 |          |                           |
| or or         | 29    | Capital stock or trust principal, or current funds  |          |                           |                                 | 29       |                           |
| ets           | 30    | Paid-in or capital surplus, or land, building or eq   |          |                           |                                 | 30       |                           |
| Assets        | 31    | Retained earnings, endowment, accumulated inc   | come, o  | or other funds            |                                 | 31       |                           |
| Net /         | 32    | Total net assets or fund balances   | •        |                           | 6,666,408                       | 32       | 7,794,652                 |
| Ž             | 33    | Total liabilities and net assets/fund balances .  | •        |                           | 8,579,062                       | 33       | 9,676,830                 |
| _             |       |   | _        | ·                         |                                 | _        | Form <b>990</b> (2019)    |

| 이큐  | THED! NEW YORK COUNTY CLERK 08/17/2021 03:06 PMPEX 1  | NO. 1 | 57709    | /P20e2 <b>112</b> |
|-----|---|-------|----------|-------------------|
| Par | rtXI Reconciliation of Net Assets ISCEF DOC. NO. 43 RECEIVED NYSO   | CEF:  | 08/17    | /2021             |
|     | Check if Schedule O contains a response or note to any line in this Part XI   |       | <u> </u> |                   |
|     | T. I. (1) (2) (3) (1) (4)   |       |          | 222 725           |
| 1   | Total revenue (must equal Part VIII, column (A), line 12)   |       |          | ,328,705          |
| 2   | Total expenses (must equal Part IX, column (A), line 25)  |       |          | ,026,662          |
| 3   | Revenue less expenses. Subtract line 2 from line 1  |       |          | ,302,043          |
| 4   | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))   |       |          | ,666,408          |
| 5   | Net unrealized gains (losses) on investments  |       |          | -173,799          |
| 6   | Donated services and use of facilities  |       |          |                   |
| 7   | Investment expenses   |       |          |                   |
| 8   | Prior period adjustments  |       |          |                   |
| 9   | Other changes in net assets or fund balances (explain in Schedule O) 9  |       |          |                   |
| 10  | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))  |       | 7        | ,794,652          |
| Pa  | rt XII Financial Statements and Reporting   |       |          |                   |
|     | Check if Schedule O contains a response or note to any line in this Part XII  |       |          |                   |
|     |   | _     | Yes      | No                |
| 1   | Accounting method used to prepare the Form 990:   |       |          |                   |
|     | If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.   |       |          |                   |
| 2a  | Were the organization's financial statements compiled or reviewed by an independent accountant?   | 2a    |          | No                |
|     | If `Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:   |       |          |                   |
|     | ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis  |       |          |                   |
| b   | Were the organization's financial statements audited by an independent accountant?  | 2b    | Yes      |                   |
|     | If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  | ,     |          |                   |
|     | ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis  |       |          |                   |
| C   | If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c    | Yes      |                   |
|     | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule C  | o.    |          |                   |
| _   |   |       |          |                   |
|     | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single<br>Audit Act and OMB Circular A-133?   | 3a    | Yes      |                   |
| b   | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.     | 3b    | Yes      |                   |
|     | Table 5. Table, 5. Spain Tilly in Deliberate of and appended any people taken to undergo pach addition  | 30    |          | <b>0</b> (2019)   |

NYSCEF DOC. NO. 43

Software ID:

Software Version:

EIN: 31-1726494

Name: ECOHEALTH ALLIANCE INC

Form 990 (2019)

Form 990, Part III, Line 4a:

PREDICT EMERGING PANDEMIC THREAT PROGRAM - ASSESSES CAPACITY AND DEVELOPS PLANS FOR THE IMPLEMENTATION OF WILDLIFE SURVEILLANCE SUPPORT. THEY DEVELOP MODELS OF DISEASE RISK AND SPREAD, IMPLEMENT A SMART(STRATEGIC, MEASURABLE, ADAPTIVE, RESPONSIVE, AND TARGETED) WILDLIFE SURVEILLANCE STRATEGY TO IDENTIFY AND TARGET HIGH-RISK WILDLIFE IN THE REGION'S MOST VULNERABLE TO ZOONOTIC DISEASE EMERGENCE.

FORTH THE STRONG INTER DEPENDENCIES OF PEOPLE, ANIMALS AND THE ENVIRONMENT, WILDLIFE AND ECOSYSTEM HEALTH ARE TYPICALLY NOT ADEQUATELY CONSERVATION POLICIES. AS A RESULT, THIS ENVIRONMENT OF HUMAN HEALTH, AGRICULTURE, OR CONSERVATION POLICIES. AS A RESULT, THIS ENVIRONMENT OF HUMAN HEALTH, AGRICULTURE, OR CONSERVATION POLICIES. AS A RESULT, THIS ENVIRONMENT OF HUMAN HEALTH, AGRICULTURE, OR CONSERVATION POLICIES. AS A RESULT, THIS ENVIRONMENT OF HEALTH AND BIODIVERSITY PUZZLE. POLICY OFTEN ENDS UP LACKING IN SCIENCE-DRIVEN GUIDANCE, AND RESPONSES ARE REACTIVE RATHER THAN PROACTIVE IN PREDICTING AND PREVENTING HEALTH AND CONSERVATION THREATS, ECOHEALTH ALLIANCE HAS A CORE FOCUS OF TRANSLATING ITS STRONG

ECOSYSTEM HEALTH SCIENCE INTO ACTIONABLE INFORMATION FOR HEALTH POLICY MAKERS. FOR EXAMPLE, ON A LOCAL LEVEL, ECOHEALTH ALLIANCE PRESENTED ON THE SCALE AND IMPACTS OF THE ILLEGAL WILDLIFE TRADE IN NEW YORK TO THE STATES DISTRICT ATTORNEY ASSOCIATION TO RAISE AWARENESS AMONG PROSECUTORS. ON A NATIONAL LEVEL, ECOHEALTH ALLIANCE PROVIDED SCIENTIFIC GUIDANCE THROUGH INVITED BRIEFINGS TO CONGRESSIONAL AND WHITE HOUSE

PROSECUTORS. ON A NATIONAL LEVEL, ECOHEALTH ALLIANCE PROVIDED SCIENTIFIC GUIDANCE THROUGH INVITED BRIEFINGS TO CONGRESSIONAL AND WHITE HOUS OFFICIALS ON PANDEMIC PREVENTION AND CONTROL AND NATURAL RESOURCE MANAGEMENT. THIS WAS ESPECIALLY RELEVANT GIVEN THE U.S. GOVERNMENT

GROWING FOCUS ON GLOBAL HEALTH SECURITY AND CONCERNS OVER THE ILLEGAL WILDLIFE TRADE.

THE PROMANNESS OF THE PROMANNE EXPANSION: TORBANOPLANINING AND EXTRACTIVE INDUSTRIES. AN ESTIMATED 18 MILLION ACRES OF FOREST, WHICH THE ROUND HELD STREET OF THE ANALYSIS AND THE PANAMEN AN YEAR, ACCORDING TO THE UNITED NATIONS FOOD AND AGRICULTURE ORGANIZATION (FAO). THOUSANDS OF WILDLIFE SPECIES RELY ON THE DELICATE ECOSYSTEMS

CREATED BY THE RICHLY BIO-DIVERSE FOREST ENVIRONMENTS. DEFORESTATION AND HUMAN ENCROACHMENT DISPLACES THESE SPECIES AND FORCES HEIGHTENED INTERACTION BETWEEN PEOPLE AND ANIMALS INDICATING A HIGH POTENTIAL FOR DISEASE TRANSMISSION AS WE HAVE SEEN FROM THE TOLL OF NIPAH VIRUS, AVIAN

INFLUENZA AND SARS, PROJECT DEEP FOREST SEEKS TO IDENTIFY HOW AND WHY THIS IS HAPPENING. IN THE PAST YEAR, OUR SCIENTISTS HAVE BEGUN SAMPLING

AREAS WHERE ONCE PRISTINE FORESTS HAVE BEEN COMPLETELY REMOVED. IN EACH REGION, ECOHEALTH SCIENTISTS ARE INVESTIGATING THE NUMBER OF VIRUSES

SPECIES FOR PATHOGENS IN EACH COUNTRY ALONG A DEFORESTATION GRADIENT, I.E., LOOKING AT AREAS WITH NO DEFORESTATION, SOME DEFORESTATION, AND

PRESENT IN DIFFERENT WILDLIFE GROUPS. PROJECT DEEP FOREST ALLOWS THE ORGANIZATION TO CREATE OUTREACH VIA LOCAL COMMUNITIES AND CORPORATE

STAKEHOLDERS TO PROMOTE THE PRESERVATION OF NATURAL LANDS AND DIMINISH THE DEVASTATING EFFECTS OF LAND-USE CHANGE.

art MFMcombergarion of United Schiffe Sold in States / Kan Andrian Schiffe de Linden satistican bloxess NYSCEF DOC. NO. 43 RECEIVED NYSCEF: (B) (C) Name and Title Reportable Reportable Average Position (do not check more Estimated amount of other than one box, unless hours per compensation compensation week (list person is both an officer from related compensation from the any hours and a director/trustee) organization organizations from the for related (W-2/1099-(W-2/1099organization and Individual trustee or director Highest compensatemployee Former Key employee organizations Institutional MISC) MISC) related below dotted organizations line) Trustee 40.0 PETER DASZAK PHD . . . . . . . . . . . . . . . . . 89,103 Χ 371,265 0 PRESIDENT 0.0 40.0 WILLIAM KARESH . . . . . . . . . . . . . . . . . . Χ 0 ...... 281,312 37,531 EXECUTIVE VICE PRESIDENT 0.0 40.0 ARMINE ARUSTAMYAN Х 201,563 0 32,829 CHIEF FINANCIAL OFFICER 0.0 40.0 JONATHAN EPSTEIN . . . . . . . . . . . . . . . . . Х 53,135 160,552 0 VICE PRESIDENT 0.0 40.0 KEVIN OLIVAL . . . . . . . . . . . . . . . . . Χ 150,194 0 52,306 VICE PRESIDENT FOR RESEARCH 0.0 40.0 **EVELYN LUCIANO** . . . . . . . . . . . . . . . . . . Χ 144,516 0 51,852 SR. FEDERAL GRANTS DIRECTOR 0.0 40.0 ELLEN CARLIN ...... Х 158,651 0 26,295 SENIOR POLICY ADVISER 0.0 40.0 ALEKSEI CHMURA Х 135,609 0 39,308 CHIEF OF STAFF 0.0 40.0 ANTHONY RAMOS . . . . . . . . . . . . . . . . . 12,358 Χ 138,169 0 SENIOR DIRECTOR OF MARKETING 0.0 40.0 CHRISTOPHER ALLEN Χ 110,981 0 22,427 ......

0.0

SR. DATA SCIENTIST

NYSCEF DOC. NO. 43 RECEIVED NYSCEF: (B) (C) Name and Title Position (do not check more Reportable Reportable Average Estimated than one box, unless amount of other hours per compensation compensation person is both an officer week (list from related compensation from the any hours and a director/trustee) organization organizations from the organization and for related (W-2/1099-(W-2/1099-Highest compensatemployee Former Individual trustee or director Key employee organizations Institutional MISC) MISC) related below dotted organizations line) Truste 3.0 Nancve Green . . . . . . . . . . . . . . . . . Χ Chair 0.0 3.0 Lori Michelin Χ Χ ...... Vice chair (THROUGH 4/1/2020) 0.0 3.0 Carolota Vollhardt Χ Х Secretary (through 4/30/2020) 0.0 3.0 NANCY GRIFFIN . . . . . . . . . . . . . . . . . Χ SECRETARY (STARTED 5/1/2020) 0.0 3.0 MARK SPITZER Χ Х ...... TREASURER (STARTED 5/1/2020) 0.0 3.0 Dr Thomas Loveiov . . . . . . . . . . . . . . . . . . Χ Honorary Chair 0.0 3.0 Lisa Silvershein Χ Director 0.0 3.0 AMY ATTAS Χ DIRECTOR 0.0 3.0 FREDERICK BAUM ................ Χ DIRECTOR 0.0 3.0 GERARD CADDICK Х 0

DIRECTOR

<del>gebeugeur Courtactors</del> SHPL out MARMCOMBERS (GOOD ON ANDERS STEER SELECTED ON SET OF STEER OF STEER STEER SHOWERS (SERVED STEER SELECTED STOCKES) NYSCEF DOC. NO. 43 RECEIVED NYSCEF: (B) (C) Name and Title Position (do not check more Reportable Reportable Average Estimated than one box, unless amount of other hours per compensation compensation person is both an officer week (list from related compensation from the any hours and a director/trustee) organization organizations from the organization and for related (W- 2/1099-(W-2/1099-Highest compensatemployee Former Individual trustee or director Key employee organizations Institutional MISC) MISC) related below dotted organizations line) Truste 3.0 RITA COLWELL . . . . . . . . . . . . . . . . . . Χ DIRECTOR 0.0 3.0 MARGERY FISCHBEIN Χ DIRECTOR 0.0 3.0 HOLLY HEGENER Х DIRECTOR 0.0 3.0 JAMES HUGHES ................. Χ DIRECTOR 0.0 3.0 PETER KAUFMAN Χ 0 DIRECTOR 0.0 3.0 **BOB KUPERMAN** Χ DIRECTOR 0.0 3.0 MARGARET LOEB ...... Χ DIRECTOR 0.0 3.0 DAVID MCLNTYRE Χ DIRECTOR 0.0 3.0 MARIANNE DE BACKER ................ Χ DIRECTOR 0.0 3.0 PAMELA THYE Х 0

DIRECTOR

BEKar CON HANGEL STEEKELE ORISTES, VEN SAID ON GES, OR HELLEN DECORATE OF HEAD BLOYEES, and Independent Contractors NYSCEF DOC. NO. 43 RECEIVED NYSCEF: |08/17/2021 (B) (C) Name and Title Position (do not check more Reportable Reportable Average Estimated than one box, unless compensation compensation amount of other hours per week (list person is both an officer from the from related compensation any hours and a director/trustee) organization organizations from the (W- 2/1099for related (W-2/1099organization and Individual or director Former Xey employee employee organizations Institutional MISC) MISC) related below dotted organizations line) trustee 萝 3.0 STEVEN WILS . . . . . . . . . . . . . . . . . . Х DIRECTOR 0.0 3.0 DAVID AMBURGEY ................ Χ Director 0.0 3.0 **ELLEN SHEDLARZ** . . . . . . . . . . . . . . . . . . Χ HONORARY MEMBER 0.0 3.0 Sheila patel Χ ................ honorary member 0.0

|      | m 99           | OLL A                        | NO. 43<br>Con                 | Public (                           | Charity Statu rganization is a sect 4947(a)(1) nonexe ▶ Attach to Form                           | empt charitable                       | e trust.                           | OFT<br>OFT<br>a section                                 | 2019  |
|------|----------------|------------------------------|-------------------------------|------------------------------------|--|---------------------------------------|------------------------------------|---|---|
|      |                | the Treasury                 | ▶ (                           | Go to <u>www.irs</u>               | s.gov/Form990 for i  |                                       |                                    | ormation.   | Open to Public<br>Inspection                    |
| Nam  | e of th        | he organiza<br>ALLIANCE INC  | tion                          |                                    |  |                                       |                                    | Employer identific                                      | ation number                                    |
|      |                |                              |                               |                                    | (41)   |                                       |                                    | 31-1726494  |   |
|      | rt I<br>rganiz |                              |                               |                                    | <b>us</b> (All organization<br>it is: (For lines 1 thro  |                                       |                                    | see instructions.                                       |   |
| 1    |                |                              | •                             |                                    | ssociation of churches   |                                       |                                    | (A)(i).   |   |
| 2    |                | A school de                  | scribed in <b>se</b>          | ction 170(b)(                      | 1)(A)(ii). (Attach Sch   | nedule E (Form 9                      | 990 or 990-EZ).)                   |   |   |
| 3    |                | A hospital o                 | r a cooperat                  | ive hospital ser                   | vice organization desc   | ribed in <b>section</b>               | 170(b)(1)(A)(                      | iii).   |   |
| 4    |                | ·                            | esearch orga                  | •                                  | ed in conjunction with   |                                       |                                    | -   | nter the hospital's                             |
| 5    |                | (b)(1)(A)                    | ( <b>iv).</b> (Comple         | ete Part II.)                      | t of a college or unive  | ,                                     |                                    |   | ped in <b>section 170</b>                       |
| 6    |                | •                            | ,                             | -                                  | governmental unit de   |                                       | ( // //                            | , ,   |   |
| 7    | <b>✓</b>       |                              |                               | mally receives<br>(vi). (Complete  | a substantial part of it<br>Part II.)  | s support from a                      | a governmental u                   | init or from the genera                                 | al public described in                          |
| 8    |                |                              |                               |                                    | 170(b)(1)(A)(vi).  | (Complete Part I                      | II.)                               |   |   |
| 9    |                |                              |                               |                                    | escribed in <b>170(b)(1)</b><br>ee instructions. Enter   |                                       |                                    |   | ege or university or a                          |
| 10   |                | from activit<br>investment   | ies related to<br>income and  | its exempt fur<br>unrelated busir  | (1) more than 331/39<br>nctions—subject to cer<br>ness taxable income (le<br>omplete Part III.)  | tain exceptions,                      | and (2) no more                    | than 331/3% of its su                                   | pport from gross                                |
| 11   |                | An organiza                  | ation organize                | ed and operated                    | d exclusively to test fo   | r public safety. S                    | See <b>section 509</b>             | (a)(4).   |   |
| 12   |                | more public                  | ly supported                  | organizations                      | d exclusively for the be<br>described in <b>section 5</b><br>the type of supporting              | <b>i09(a)(1)</b> or se                | ction 509(a)(2                     | ). See <mark>section 509(a</mark>                       |   |
| а    |                | <b>Type I.</b> A so          | supporting or<br>n(s) the pow | ganization oper                    | rated, supervised, or co<br>appoint or elect a majo  | ontrolled by its s                    | upported organi                    | zation(s), typically by                                 |   |
| b    |                | <b>Type II.</b> A manageme   | supporting on                 | rganization sup                    | pervised or controlled i<br>ation vested in the sar  |                                       |                                    |   |   |
| С    |                |                              |                               |                                    | supporting organizatio   |                                       |                                    |   | ted with, its                                   |
| d    |                | Type III n                   | on-function<br>integrated.    | ally integrate<br>The organizatio  | ions). You must com<br>d. A supporting organi<br>n generally must satis<br>rt IV, Sections A and | ization operated<br>fy a distribution | in connection wi                   | th its supported organ                                  |   |
| е    |                | Check this                   | box if the org                | janization recei                   | ved a written determir   | nation from the I                     |                                    | pe I, Type II, Type II                                  | functionally                                    |
| f    | Enter          |                              | , ,                           | ion-functionally<br>Lorganizations | integrated supporting  | _                                     |                                    |   |   |
| g    |                |                              |                               | -                                  |  |                                       |                                    |   |   |
|      |                | Name of supp<br>organization | orted                         | (ii) EIN                           | (iii) Type of<br>organization<br>(described on lines<br>1- 10 above (see<br>instructions))       | (iv) Is the org                       | anization listed<br>iing document? | (v) Amount of<br>monetary support<br>(see instructions) | (vi) Amount of other support (see instructions) |
|      |                |                              |                               |                                    |  | Yes                                   | No                                 |   |   |
|      |                |                              |                               |                                    |  |                                       |                                    |   |   |
| Гotа | 1              |                              |                               |                                    |  |                                       |                                    |   |   |
|      |                | work Reduc                   | tion Act Not                  | ica see the T                      | nstructions for  | Cat. No. 1128!                        | 5F (                               | Schedule A (Form 9                                      | 00 or 000 EZ\ 2016                              |

| Scf | FULLED'S SKEW 90 YORK 9   | COUNTY C   | LERK 08/  | 17/2021   |  |   |         | 7709/22062 <b>2</b> |
|-----|---|--|---|---|--|---|---------|---------------------|
| L   | Support Schedule for Complete only if you ch  | Organizations  | Described in S  | ections 170(b)  | )(1)( <u>A)(iv)</u> anc  | <b>Г170(Ь)(</b>                           | L)(A)(  | (vi)<br>7/2021      |
|     | If the organization failed  | to qualify unde  | r the tests listed  | below, please of  | complete Part III  | )   | illy ui | idel l'alt III.     |
| - 5 | Section A. Public Support   | ,  |   | , ,   | •  |   |         |                     |
|     | Calendar year<br>(or fiscal year beginning in) ▶  | (a) 2015   | <b>(b)</b> 2016   | (c) 2017  | (d) 2018   | <b>(e)</b> 2019                           | $\perp$ | (f) Total           |
| 1   | Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.")   | 11,527,725   | 13,712,182  | 16,013,638  | 17,703,253   | 10,641                                    | .,003   | 69,597,801          |
| 2   | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf   |  |   |   |  |   |         | 0                   |
| 3   | The value of services or facilities furnished by a governmental unit to the organization without charge   |  |   |   |  |   |         | 0                   |
| 4   | <b>Total.</b> Add lines 1 through 3   | 11,527,725   | 13,712,182  | 16,013,638  | 17,703,253   | 10,641                                    | ,003    | 69,597,801          |
| 5   | The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on                                     |  |   |   |  |   |         | 0                   |
|     | line 1 that exceeds 2% of the amount shown on line 11, column (f)   |  |   |   |  |   |         |                     |
| 6   | Public support. Subtract line 5 from line 4.  |  |   |   |  |   |         | 69,597,801          |
|     | Section B. Total Support  | T  |   |   |  |   |         |                     |
|     | Calendar year<br>(or fiscal year beginning in) ▶  | (a) 2015   | <b>(b)</b> 2016   | (c) 2017  | (d) 2018   | <b>(e)</b> 2019                           |         | (f) Total           |
| 7   | Amounts from line 4   | 11,527,725   | 13,712,182  | 16,013,638  | 17,703,253   | 10,641                                    | .,003   | 69,597,801          |
| 8   | Gross income from interest,<br>dividends, payments received on<br>securities loans, rents, royalties<br>and income from similar sources                               | 59,904   | 63,869  | 69,413  | 81,277   | 87  | 7,172   | 361,635             |
| 9   | Net income from unrelated business activities, whether or not the business is regularly carried on  |  |   |   |  |   |         | 0                   |
| 10  | Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.).  | 76,829   | 184,590   | 59,110  | 50,500   | 240                                       | ),849   | 611,878             |
| 11  | <b>Total support.</b> Add lines 7 through 10  |  |   |   |  |   |         | 70,571,314          |
| 12  | Gross receipts from related activities,   | etc. (see instruction                                    | ons)  |   |  | 12  |         | 505,649             |
| 13  | First five years. If the Form 990 is for  | or the organization                                      | ı's first, second, thi  | rd, fourth, or fifth  | tax year as a secti  | ion 501(c)(3                              | ) organ | nization,           |
|     | check this box and ${f stop\ here}$   |  |   |   |  |   | ▶ 🗆     |                     |
|     | Section C. Computation of Publi   |  |   |   |  |   |         |                     |
|     | Public support percentage for 2019 (li  |  |   |   |  | 14  |         | 98.620 %            |
|     | Public support percentage for 2018 So   |  |   |   |  | 15  |         | 98.240 %            |
| 16  | <b>33 1/3% support test—2019.</b> If the  |  |   |   |  |   |         | _                   |
| ı   | and <b>stop here.</b> The organization qual<br>33 1/3% support test—2018. If the  | ne organization did                                      | not check a box o   | n line 13 or 16a, a   | and line 15 is 33 1/3  | 3% or more,                               | check   | this                |
| 17: | box and <b>stop here.</b> The organization<br><b>10%-facts-and-circumstances tes</b><br>is 10% or more, and if the organization in Part VI how the organization meets | t—2019. If the order meets the "facts the "facts and-cir | ganization did not e<br>s-and-circumstance<br>cumstances" test. | check a box on line<br>es" test, check this<br>The organization o | e 13, 16a, or 16b,<br>box and <b>stop her</b><br>qualifies as a public | and line 14<br>e. Explain<br>ly supported | i       |                     |
| ŀ   | organization  | <b>st—2018.</b> If the o<br>zation meets the "           | rganization did not<br>facts-and-circumst                       | check a box on lir<br>ances" test, check                          | ne 13, 16a, 16b, or<br>this box and <b>stop</b>                        | 17a, and lir<br>h <b>ere.</b>             |         | ▶□                  |
| 18  | supported organization  | ion did not check a                                      | box on line 13, 16  | Sa, 16b, 17a, or 17   | 7b, check this box   | and see                                   |         | _                   |
|     | instructions  | <u> </u>   |   | <u> </u>  | Schedule   |   | 90 or ( | ▶ ⊔<br>990-FZ) 2019 |

| Sc <b>F</b> | TILED" PREW 90YORK CO   | UNTY CL            | ERK 08/              | 17/2021               | 03:06 PN                                | DEX NO. 1        | .57709/ <b>2</b> ag <b>21</b> |
|-------------|---|--------------------|----------------------|-----------------------|---|------------------|-------------------------------|
| P           | Support Schedule for Complete only if you complete | Organization       | s Described in       | Section 509(          | a)(2) <sub>RECETVE</sub>                | D NYSCEF:        | 08/17/2021                    |
| 14          |   |                    |                      |                       |   |                  | lĕr Part II. If               |
|             | the organization fails to   | qualify under t    | the tests listed     | pelow, please co      | omplete Part II.)                       | l                |                               |
| Se          | ection A. Public Support  |                    |                      | I                     | Г                                       |                  | <del></del>                   |
|             | Calendar year<br>(or fiscal year beginning in) ▶  | (a) 2015           | <b>(b)</b> 2016      | (c) 2017              | (d) 2018                                | (e) 2019         | (f) Total                     |
| 1           | Gifts, grants, contributions, and   |                    |                      |                       |   |                  | _                             |
| _           | membership fees received. (Do not   |                    |                      |                       |   |                  |                               |
|             | include any "unusual grants.")  |                    |                      |                       |   |                  |                               |
| 2           | Gross receipts from admissions,   |                    |                      |                       |   |                  |                               |
|             | merchandise sold or services performed, or facilities furnished in  |                    |                      |                       |   |                  |                               |
|             | any activity that is related to the   |                    |                      |                       |   |                  |                               |
|             | organization's tax-exempt purpose   |                    |                      |                       |   |                  |                               |
| 3           | Gross receipts from activities that are   |                    |                      |                       |   |                  |                               |
|             | not an unrelated trade or business under section 513  |                    |                      |                       |   |                  |                               |
| 4           | Tax revenues levied for the   |                    |                      |                       |   |                  |                               |
| _           | organization's benefit and either paid  |                    |                      |                       |   |                  |                               |
|             | to or expended on its behalf  |                    |                      |                       |   |                  |                               |
| 5           | The value of services or facilities   |                    |                      |                       |   |                  |                               |
|             | furnished by a governmental unit to the organization without charge   |                    |                      |                       |   |                  |                               |
| 6           | Total. Add lines 1 through 5  |                    |                      |                       |   |                  |                               |
|             | Amounts included on lines 1, 2, and   |                    |                      |                       |   |                  |                               |
|             | 3 received from disqualified persons  |                    |                      |                       |   |                  |                               |
| b           | Amounts included on lines 2 and 3   |                    |                      |                       |   |                  |                               |
|             | received from other than disqualified   |                    |                      |                       |   |                  |                               |
|             | persons that exceed the greater of<br>\$5,000 or 1% of the amount on line   |                    |                      |                       |   |                  |                               |
|             | 13 for the year.  |                    |                      |                       |   |                  |                               |
| C           | Add lines 7a and 7b   |                    |                      |                       |   |                  |                               |
| 8           | Public support. (Subtract line 7c   |                    |                      |                       |   |                  |                               |
|             | from line 6.)   |                    |                      |                       |   |                  |                               |
| Se          | ection B. Total Support   |                    |                      |                       |   |                  |                               |
|             | Calendar year   | (a) 2015           | <b>(b)</b> 2016      | (c) 2017              | (d) 2018                                | (e) 2019         | (f) Total                     |
| 9           | (or fiscal year beginning in) ► Amounts from line 6   |                    |                      |                       |   |                  |                               |
| 10a         | Gross income from interest,   |                    |                      |                       |   |                  |                               |
| LUa         | dividends, payments received on   |                    |                      |                       |   |                  |                               |
|             | securities loans, rents, royalties and  |                    |                      |                       |   |                  |                               |
| _           | income from similar sources.  |                    |                      |                       |   |                  |                               |
| b           | Unrelated business taxable income (less section 511 taxes) from   |                    |                      |                       |   |                  |                               |
|             | businesses acquired after June 30,  |                    |                      |                       |   |                  |                               |
|             | 1975.   |                    |                      |                       |   |                  |                               |
| C           | Add lines 10a and 10b.  |                    |                      |                       |   |                  |                               |
| 11          | Net income from unrelated business  |                    |                      |                       |   |                  |                               |
|             | activities not included in line 10b,  |                    |                      |                       |   |                  |                               |
|             | whether or not the business is regularly carried on.  |                    |                      |                       |   |                  |                               |
| 12          | Other income. Do not include gain or  |                    |                      |                       |   |                  |                               |
|             | loss from the sale of capital assets  |                    |                      |                       |   |                  |                               |
| 13          | (Explain in Part VI.) <b>Total support.</b> (Add lines 9, 10c,  |                    |                      |                       |   |                  | +                             |
| 13          | 11, and 12.)  |                    |                      |                       |   |                  |                               |
| 14          | First five years. If the Form 990 is for  | r the organization | 's first, second, tl | nird, fourth, or fift | h tax year as a sec                     | tion 501(c)(3) c | rganization,                  |
|             | check this box and <b>stop here</b>   |                    |                      |                       |   |                  | ▶ 🗆                           |
| Se          | ection C. Computation of Public S   |                    |                      |                       |   |                  |                               |
| 15          | Public support percentage for 2019 (lin   |                    |                      | column (f))           |   | 15               |                               |
| 16          | Public support percentage from 2018 S   | chedule A, Part II | II, line 15          |                       |   | 16               |                               |
|             | ection D. Computation of Investi  |                    |                      |                       |   | 1 1              |                               |
| 17          | Investment income percentage for 201  |                    |                      | line 13, column (f    | ")),,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 17               |                               |
| 17<br>18    | Investment income percentage from 20  |                    |                      |                       | * *                                     | 18               |                               |
|             | 331/3% support tests—2019. If the   |                    |                      |                       |   |                  | ne 17 is not                  |
|             |   |                    |                      |                       |   |                  |                               |
|             | more than 33 1/3%, check this box and s<br>33 1/3% support tests—2018. If the   |                    |                      |                       |   |                  |                               |
| D           | • •   | -                  |                      |                       |   |                  | _                             |
| 20          | not more than 33 1/3%, check this box   | -                  | -                    |                       |   |                  |                               |
| 20          | Private foundation. If the organization   | on did not check a | box on line 14, 1    | .9a, or 19b, check    | this box and see i                      | nstructions      | ▶ ⊔                           |

**TM**PEX NO. 157709/202014 Scirculation 9NEW 90YORK COUNTY 03:06 F Supporting Organizations RECEIVED NYSCEF: 08/17/2021 (Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.) Section A. All Supporting Organizations Yes No Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain. 1 Did the organization have any supported organization that does not have an IRS determination of status under section 509 (a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2). 2 Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below. 3a Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination. 3b Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use. 3с Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below. 4a Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or 4b supervised by or in connection with its supported organizations. Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes. 4c Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by 5a amendment to the organizing document). Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document? 5b Substitutions only. Was the substitution the result of an event beyond the organization's control? 5с Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other 6 than (i) its supported organizations. (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI. 6 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) . 7 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," 8 complete Part I of Schedule L (Form 990 or 990-EZ). 8 Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI. 9a Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI. 9b Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI. 9c Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding 10a certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below. 10a Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).

| Sc | F   | TIMED TO SEE SOUNTY CLERK 08/17/2021 03:06 PM DEX NO.  | 1577   | 709/6   | 2ag 2 <b>15</b> |  |
|----|---|--|--------|---------|-----------------|--|
| P  | al.   | Supporting Organizations (continued) RECEIVED NYSCEF:  | 08,    |         |                 |  |
|    |   |  |        | Yes     | No              |  |
| 1: |   | Has the organization accepted a gift or contribution from any of the following persons?  |        |         |                 |  |
| •  | а   | A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?  | 11a    |         |                 |  |
| ı  | b   | A family member of a person described in (a) above?  | 11b    |         |                 |  |
|    | С   | A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in <b>Part VI</b> .  | 11c    |         |                 |  |
| _; | Se  | ction B. Type I Supporting Organizations   |        |         |                 |  |
|    |   |  |        | Yes     | No              |  |
| 1  |   | Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. |        |         |                 |  |
|    |   |  | 1      |         |                 |  |
| 2  | Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting |  |        |         |                 |  |
|    |   | organization.  |        |         |                 |  |
| _: | Se  | ction C. Type II Supporting Organizations  |        |         |                 |  |
|    |   |  |        | Yes     | No              |  |
| 1  |   | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).  | 1      |         |                 |  |
| _: | Se  | ction D. All Type III Supporting Organizations   |        |         | L               |  |
|    |   |  |        | Yes     | No              |  |
| 1  |   | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing   |        |         |                 |  |
|    |   | documents in effect on the date of notification, to the extent not previously provided?  | 1      |         |                 |  |
| 2  |   | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s).  | 2      |         |                 |  |
| 3  |   | By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the   |        |         |                 |  |
|    |   | organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard.   | 3      |         |                 |  |
| :  | Se  | ction E. Type III Functionally-Integrated Supporting Organizations   |        |         |                 |  |
| 1  |   | Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instruction used to satisfy the Integral Part Test during the year (see instruction).   | ons):  |         |                 |  |
|    | a   |  |        |         |                 |  |
|    | b   | The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.   |        |         |                 |  |
|    | С   | The organization supported a governmental entity. Describe in <b>Part VI</b> how you supported a government entity (see  | instru | ctions) |                 |  |
| 2  |   | Activities Test. Answer (a) and (b) below.   |        | Yes     | No              |  |
|    | а   | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI identify those supported organizations and explain</b> how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted  |        |         |                 |  |
|    | h   | substantially all of its activities.  Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the   | 2a     |         |                 |  |
|    | U   | organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.   | 21-    |         |                 |  |
| 3  |   | Parent of Supported Organizations. Answer (a) and (b) below.   | 2b     |         |                 |  |
| -  | а   | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI</i> .   | 3a     |         |                 |  |
|    | b   | Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? If "Yes," describe in <b>Part VI.</b> the role played by the organization in this regard.   |        |         |                 |  |
|    |   | 5  | 3b     |         |                 |  |

| Sc <b>F</b> | <u> TLED: "NEW OFORK COUNTY CLERK 08/17/20:</u>  | 21         | 03:06 PM DEX            | NO. 157709/2202216             |
|-------------|--|------------|-------------------------|--------------------------------|
| Par         | $\underbrace{LY}_{\mathrm{F}}$ Type III Non-Functionally Integrated 509(a)(3) Supporting C   | rgan       | zations<br>RECEIVED NYS | SCEF: 08/17/2021               |
| 1           | Check here if the organization satisfied the Integral Part Test as a qualifying truinstructions. All other Type III non-functionally integrated supporting organiz                                       |            |                         |                                |
|             | Section A - Adjusted Net Income  |            | (A) Prior Year          | (B) Current Year<br>(optional) |
| 1           | Net short-term capital gain  | 1          |                         |                                |
| 2           | Recoveries of prior-year distributions   | 2          |                         |                                |
| 3           | Other gross income (see instructions)  | 3          |                         |                                |
| 4           | Add lines 1 through 3  | 4          |                         |                                |
| 5           | Depreciation and depletion   | 5          |                         |                                |
| 6           | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6          |                         |                                |
| 7           | Other expenses (see instructions)  | 7          |                         |                                |
| 8           | Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)  | 8          |                         |                                |
|             | Section B - Minimum Asset Amount   |            | (A) Prior Year          | (B) Current Year<br>(optional) |
| 1           | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):  | 1          |                         |                                |
| a           | Average monthly value of securities  | 1a         |                         |                                |
| b           | Average monthly cash balances  | <b>1</b> b |                         |                                |
| С           | Fair market value of other non-exempt-use assets   | 1c         |                         |                                |
| d           | Total (add lines 1a, 1b, and 1c)   | 1d         |                         |                                |
| е           | <b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI):  |            |                         |                                |
| 2           | Acquisition indebtedness applicable to non-exempt use assets   | 2          |                         |                                |
| 3           | Subtract line 2 from line 1d   | 3          |                         |                                |
| 4           | Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).  | 4          |                         |                                |
| 5           | Net value of non-exempt-use assets (subtract line 4 from line 3)   | 5          |                         |                                |
| 6           | Multiply line 5 by .035  | 6          |                         |                                |
| 7           | Recoveries of prior-year distributions   | 7          |                         |                                |
| 8           | Minimum Asset Amount (add line 7 to line 6)  | 8          |                         |                                |
|             | Section C - Distributable Amount   |            |                         | Current Year                   |
| 1           | Adjusted net income for prior year (from Section A, line 8, Column A)  | 1          |                         |                                |
| 2           | Enter 85% of line 1  | 2          |                         |                                |
| 3           | Minimum asset amount for prior year (from Section B, line 8, Column A)   | 3          |                         |                                |
| 4           | Enter greater of line 2 or line 3  | 4          |                         |                                |
| 5           | Income tax imposed in prior year   | 5          |                         |                                |
| 6           | <b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)   | 6          |                         |                                |
| 7           | Check here if the current year is the organization's first as a non-functionally-in instructions)  | ntegrat    |                         | ganization (see                |

| Schart V Type III Non-Functionally Integrated  | LERK 08/17/20                  | 21 03:06 PMPE                          | X NO. 157709/200217                              |
|--|--------------------------------|--|--|
| Section D - Distributions  |                                | RECEIVED N                             | YSCEF:         08/17/2021           Current Year |
| 1 Amounts paid to supported organizations to assemblish  | ovemet purposes                |  |  |
| 1 Amounts paid to supported organizations to accomplish  |                                |  |  |
| 2 Amounts paid to perform activity that directly furthers excess of income from activity   | exempt purposes of supported   | organizations, in                      |  |
| 3 Administrative expenses paid to accomplish exempt pur  | poses of supported organizati  | ons                                    |  |
| 4 Amounts paid to acquire exempt-use assets  |                                |  |  |
| 5 Qualified set-aside amounts (prior IRS approval require  | d)                             |  |  |
| 6 Other distributions (describe in <b>Part VI</b> ). See instructio  | ns                             |  |  |
| 7 Total annual distributions. Add lines 1 through 6.   | ···                            |  |  |
|  |                                |  |  |
| 8 Distributions to attentive supported organizations to wh details in Part VI). See instructions   | ich the organization is respon | sive (provide                          |  |
| 9 Distributable amount for 2019 from Section C, line 6   |                                |  |  |
| 10 Line 8 amount divided by Line 9 amount  |                                |  |  |
| Section E - Distribution Allocations (see instructions)  | (i)<br>Excess Distributions    | (ii)<br>Underdistributions<br>Pre-2019 | (iii)<br>Distributable<br>Amount for 2019        |
| 1 Distributable amount for 2019 from Section C, line 6   |                                |  |  |
| 2 Underdistributions, if any, for years prior to 2019<br>(reasonable cause required explain in Part VI).<br>See instructions.  |                                |  |  |
| <b>3</b> Excess distributions carryover, if any, to 2019:  |                                |  |  |
| <b>a</b> From 2014   |                                |  |  |
| <b>b</b> From 2015   |                                |  |  |
| c From 2016  |                                |  |  |
| <b>d</b> From 2017   |                                |  |  |
| f Total of lines 3a through e  |                                |  |  |
| <b>q</b> Applied to underdistributions of prior years  |                                |  |  |
| h Applied to 2019 distributable amount   |                                |  |  |
| i Carryover from 2014 not applied (see instructions)   |                                |  |  |
| j Remainder. Subtract lines 3g, 3h, and 3i from 3f.  |                                |  |  |
| <b>4</b> Distributions for 2019 from Section D, line 7:  |                                |  |  |
| <u> </u>   |                                |  |  |
| a Applied to underdistributions of prior years   |                                |  |  |
| <b>b</b> Applied to 2019 distributable amount  |                                |  |  |
| c Remainder. Subtract lines 4a and 4b from 4.  |                                |  |  |
| 5 Remaining underdistributions for years prior to<br>2019, if any. Subtract lines 3g and 4a from line 2.<br>If the amount is greater than zero, explain in Part VI.<br>See instructions. |                                |  |  |
| <b>6</b> Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in <b>Part VI</b> . See instructions.                  |                                |  |  |
| <b>7 Excess distributions carryover to 2020.</b> Add lines 3j and 4c.  |                                |  |  |
| 8 Breakdown of line 7:   | _                              |  |  |
| a Excess from 2015   |                                |  |  |
| <b>b</b> Excess from 2016  |                                |  |  |
| c Excess from 2017   |                                |  |  |
| d Excess from 2018   |                                |  |  |
| e Excess from 2019   |                                | Cabadula A /I                          | Form 990 or 990-E7) (2019)                       |

| AGUITED A PARK YORK   | COUNTY CLERK  | 08/17/2021 03:06 PMPEX NO. 157709/2021  |
|---|---|---|
| NYSCEF DOC. NO. 43  |   | RECEIVED NYSCEF: 08/17/2021   |
|   | Softwa  | are ID:   |
|   | Software Ve   | ersion:   |
|   |   | <b>EIN:</b> 31-1726494  |
|   | 1   | Name: ECOHEALTH ALLIANCE INC  |
| Schedule A (Form 990 or 990-EZ) 2019                        |   | Page <b>8</b>   |
| Section A, lines 1, 2, 3b, 3<br>Part IV, Section D, lines 2 | 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11<br>and 3; Part IV, Section E, lines | required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, 1a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; es 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V 2, 5, and 6. Also complete this part for any additional information. (See |
|   |   |   |
|   | Facts And C   | Circumstances Test  |
|   |   |   |

efinaturaphic nitiw- dyorux produser y actilectrusta 0 8 / 17 / 2021 03:06 PMP DELNIO 93495/730024941 Supplemental Financial Statements NYSC F MB M8./1545-90471 SCHEDULEDD. NO. 43 (Form 990) 2010

|     | rtment of the Treasury               | ► Complete if the org<br>Part IV, line 6, 7, 8, 9, 1<br>►<br>► Go to www.irs.gov/Form  | 10, 11a, 11b, 11c, 1<br>▶ Attach to Form 9  | .1d, 11e, 11f, 12a<br>90.                     | , or 12b.       | Ор                            | en to Public |
|-----|--------------------------------------|--|---|---|-----------------|-------------------------------|--------------|
|     | me of the organi                     |  |   |   |                 | loyer identification          |              |
| ECC | DHEALTH ALLIANCE I                   | NC   |   |   | 21_1            | 726494                        |              |
| Pa  | art I Organiz                        | zations Maintaining Donor Advis  | sed Funds or Oth                            | er Similar Fund                               |                 |                               |              |
|     |                                      | te if the organization answered "Ye  |   |   |                 |                               |              |
|     |                                      |  | (a) Donor a                                 | advised funds                                 |                 | (b) Funds and other           | er accounts  |
| 1   |                                      | end of year  |   |   |                 |                               |              |
| 2   | 33 3                                 | of contributions to (during year)  |   |   |                 |                               |              |
| 3   | 55 5                                 | of grants from (during year)   |   |   |                 |                               |              |
| 4   |                                      | at end of year   |   |   |                 |                               |              |
| 5   | organization's pr                    | ation inform all donors and donor adviso roperty, subject to the organization's ex   | clusive legal control?                      |   |                 | ]                             | ☐ Yes ☐ No   |
| 6   | charitable purpo<br>private benefit? | ation inform all grantees, donors, and donses and not for the benefit of the donor   | or donor advisor, or                        | for any other purpo                           | ose conferri    | ing impermissible             | ☐ Yes ☐ No   |
| Pa  |                                      | <b>vation Easements.</b><br>te if the organization answered "Ye  | e" on Form 990 D                            | art IV line 7                                 |                 |                               |              |
| 1   |                                      | pnservation easements held by the organ  |   |   |                 |                               |              |
| -   |                                      | on of land for public use (e.g., recreation  | `   | ¬ ' ' ' ' '                                   | f an histori    | ically important land         | d area       |
|     | _                                    |  | iror education) i                           | _   |                 |                               | ı area       |
|     |                                      | of natural habitat   | l   | → Preservation o                              | f a certified   | d historic structure          |              |
|     | ☐ Preservation                       | on of open space   |   |   |                 |                               |              |
| 2   |                                      | 2a through 2d if the organization held a le last day of the tax year.  | qualified conservatio                       | n contribution in the                         | e form of a<br> | conservation  Held at the End | of the Year  |
| а   | Total number of                      | conservation easements   |   |   | 2a              |                               |              |
| b   | Total acreage res                    | stricted by conservation easements   |   |   | 2b              |                               |              |
| С   |                                      | ervation easements on a certified histori  |   | ` '   | 2c              |                               |              |
| d   |                                      | ervation easements included in (c) acqui<br>n the National Register  | ired after 7/25/06, ar                      | nd not on a historic                          | 2d              |                               |              |
| 3   | Number of consetax year ►            | ervation easements modified, transferre<br>  | ed, released, extingui                      | shed, or terminated                           | l by the org    | ganization during th          | e            |
| 4   | Number of state                      | s where property subject to conservatio  | on easement is locate                       | d <b>▶</b>                                    |                 |                               |              |
| 5   |                                      | zation have a written policy regarding the   |   |   | ing of viola    | -<br>etions,<br><b>Yes</b>    | □ No         |
| 6   | Staff and volunt                     | eer hours devoted to monitoring, inspec  | cting, handling of viol                     | ations, and enforcir                          | ng conserva     |                               |              |
| 7   | Amount of exper                      | nses incurred in monitoring, inspecting,   | handling of violation                       | s, and enforcing cor                          | nservation      | easements during t            | he year      |
| 8   |                                      | ervation easement reported on line 2(d) (h)(4)(B)(ii)?   |   |   |                 | 4)(B)(i)                      | □ No         |
| 9   | balance sheet, a                     | scribe how the organization reports cons<br>and include, if applicable, the text of the<br>'s accounting for conservation easemen' | footnote to the orga                        |   |                 | tement, and                   |              |
| Pai | t IIII Organi:                       | zations Maintaining Collections<br>te if the organization answered "Ye   | of Art, Historica                           |   | Other Sir       | milar Assets.                 |              |
| 1a  | If the organization                  | on elected, as permitted under SFAS 11<br>easures, or other similar assets held for<br>XIII. the text of the footnote to its finan | .6 (ASC 958), not to public exhibition, edu | report in its revenue<br>ucation, or research | in furthera     |                               |              |
| b   | historical treasu                    | on elected, as permitted under SFAS 11 res, or other similar assets held for publits relating to these items:                      |   |   |                 |                               |              |
|     | <del>-</del>                         | ed on Form 990, Part VIII, line 1  |   |   |                 | <b>▶</b> \$                   |              |
|     |                                      | in Form 990, Part X  |   |   |                 | -                             |              |
| 2   | If the organization                  | on received or held works of art, historicular required to be reported under SFAS  | cal treasures, or othe                      | r similar assets for                          |                 | -                             |              |
| а   | 3                                    | ed on Form 990, Part VIII, line 1  | ,   | -   |                 | ▶ \$                          |              |
|     |                                      | in Form 990, Part X  |   |   |                 |                               |              |
| b   | Assets ilicidaed                     | mironni 220, rait A  |   |   |                 | - φ                           |              |

For Paperwork Reduction Act Notice, see the Instructions for Form 990. Cat. No. 52283D Schedule D (Form 990) 2019

| Sc I   | TILED: NEW YORK COUNT   | TY CLERK                               | 08/17/                        | 202                                   | 21 0            | 3:06        | <b>PM</b> PEX  | NO. 15         | 7709/20<br>P: | 021<br>age <b>2</b> |
|--------|---|--|-------------------------------|---------------------------------------|-----------------|-------------|----------------|----------------|---------------|---------------------|
| Pai    | t NYLEF Organizations Maintaining Col   | lections of Art,                       | Historical T                  | reasu                                 | res, or         | Other       | Similar As     | sets (conti    |               | 021                 |
| 3      | Using the organization's acquisition, accession items (check all that apply):                   | n, and other records                   | , check any of                | the fol                               | lowing t        | hat are a   | significant u  | se of its coll | ection        |                     |
| а      | Public exhibition   |  | d 🗌                           | Loan                                  | or excha        | ange prog   | rams           |                |               |                     |
| b      | Scholarly research  |  | e 🗌                           | Other                                 | ·               |             |                |                |               |                     |
| c      | Preservation for future generations   |  |                               |                                       |                 |             |                |                |               |                     |
| 4      | Provide a description of the organization's col<br>Part XIII.                                   | lections and explain                   | how they furt                 | her the                               | organiz         | ation's ex  | empt purpos    | se in          |               |                     |
| 5      | During the year, did the organization solicit o assets to be sold to raise funds rather than to |  |                               |                                       |                 |             |                | ☐ Yes          | □ No          |                     |
| Pa     | rt IV Escrow and Custodial Arrange Complete if the organization answ X, line 21.                |  | rm 990, Part                  | : IV, lir                             | ne 9, or        | reporte     | d an amou      | nt on Forn     | 1 990, Pa     | rt                  |
| 1a     | Is the organization an agent, trustee, custodi<br>included on Form 990, Part X?                 |  |                               |                                       |                 |             |                | ☐ Yes          | □ No          |                     |
| b      | If "Yes," explain the arrangement in Part XIII  | and complete the fo                    | ollowing table:               |                                       | ſ               |             | Aı             | nount          |               |                     |
| c      | Beginning balance   |  | -                             |                                       |                 | 1c          |                |                |               |                     |
| d      | Additions during the year   |  |                               |                                       | [               | 1d          |                |                |               |                     |
| е      | Distributions during the year   |  |                               |                                       | . [             | 1e          |                |                |               |                     |
| f      | Ending balance  |  |                               |                                       | . [             | 1f          |                |                |               |                     |
| 2a     | Did the organization include an amount on Fo  | orm 990, Part X, line                  | 21, for escrov                | v or cu                               | stodial a       | ccount lia  | bility?        | ☐ Yes          | □ No          |                     |
| b      | If "Yes," explain the arrangement in Part XIII  | . Check here if the e                  | explanation ha                | s been                                | provided        | d in Part ) | (III           |                |               |                     |
| Pa     | rt V Endowment Funds.   |  |                               |                                       |                 |             |                |                |               |                     |
|        | Complete if the organization answ   | vered "Yes" on For<br>(a) Current year | rm 990, Part<br>(b) Prior yea |                                       |                 | oore book   | (d) Three yea  | re book (a)    |               |                     |
| 1a     | Beginning of year balance   | (a) Current year                       | (D) Prior yea                 | 31 (                                  | C) TWO Y        | ears back   | (u) Tillee yea | IIS DACK (E)   | our years b   | ack                 |
|        | Contributions   |  |                               |                                       |                 |             |                |                |               |                     |
|        | Net investment earnings, gains, and losses  |  |                               |                                       |                 |             |                |                |               |                     |
|        | Grants or scholarships  |  |                               |                                       |                 |             |                |                |               |                     |
|        | Other expenditures for facilities   |  |                               |                                       |                 |             |                |                |               |                     |
|        | and programs  |  |                               |                                       |                 |             |                |                |               |                     |
| f      | Administrative expenses   |  |                               |                                       |                 |             |                |                |               |                     |
| g      | End of year balance   |  |                               |                                       |                 |             |                |                |               |                     |
| 2<br>a | Provide the estimated percentage of the curre<br>Board designated or quasi-endowment ▶          | ent year end balance                   | e (line 1g, colu              | mn (a)                                | ) held a        | s:          |                |                |               |                     |
| b      | Permanent endowment ►   |  |                               |                                       |                 |             |                |                |               |                     |
| С      | Temporarily restricted endowment ►  |  |                               |                                       |                 |             |                |                |               |                     |
|        | The percentages on lines 2a, 2b, and 2c shou  | ld equal 100%.                         |                               |                                       |                 |             |                |                |               |                     |
| 3а     | Are there endowment funds not in the posses organization by:                                    | sion of the organiza                   | tion that are h               | eld and                               | d admini        | stered fo   | r the          |                | Yes N         | lo                  |
|        | (i) unrelated organizations   |  |                               |                                       |                 |             |                | 3a(i)          |               |                     |
| b      | (ii) related organizations  |  | on Schedule 5                 |                                       |                 |             |                | 3a(ii)<br>3b   |               |                     |
| 4      | Describe in Part XIII the intended uses of the  |  |                               | . ·                                   |                 |             |                | 30             |               | —                   |
|        | rt VI Land, Buildings, and Equipme  |  |                               |                                       |                 |             |                |                |               |                     |
|        | Complete if the organization answ   |  | rm 990, Part                  | : IV, lir                             | ne 11a.         | See For     | m 990, Par     | t X, line 1    | 0             |                     |
|        | Description of property (a) Cost or oth (investme   |  | t or other basis (            | other)                                | <b>(c)</b> Acci | umulated o  | epreciation    | ( <b>d)</b> B  | ook value     |                     |
| 1a     | Land  |  |                               |                                       |                 |             |                |                |               |                     |
| b      | Buildings   |  |                               |                                       |                 |             |                |                |               |                     |
| c      | Leasehold improvements  |  |                               | 11,310                                |                 |             |                |                | 1             | 1,310               |
| d      | Equipment   |  |                               | 42,749                                |                 |             | 16,709         |                | 2             | 26,040              |
|        | Other   |  | + V1 (5                       | \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ | 10(-) )         |             |                |                |               |                     |
| ota    | al. Add lines 1a through 1e. (Column (d) must e   | iquai Form 990, Part                   | t X, column (B                | ), line                               | 10(c).)         | • •         | <b>&gt;</b>    |                | 3             | 37,350              |

| School File NEW YORK COUNTY CLERK 08/1 Part VII F Investments 40ther Securities.   | 7/20:                | 21 (         |                     |                              | .57709/2021<br>Page <b>3</b><br>08/17/2021 |
|--|----------------------|--------------|---------------------|------------------------------|--|
| Complete if the organization answered "Yes" on Form 990,   |                      | ine 11b      | .See Form 990, F    | art X, line                  | 12.  |
| <ul><li>(a) Description of security or category<br/>(including name of security)</li></ul>   | (b)<br>Book<br>value |              | Cost or end-of-     | d of valuatio<br>year market |  |
| (1) Financial derivatives  |                      |              |                     |                              |  |
| (A)  |                      |              |                     |                              |  |
| (B)  |                      |              |                     |                              |  |
| (C)  |                      |              |                     |                              |  |
| (D)  |                      |              |                     |                              |  |
| (E)  |                      |              |                     |                              |  |
| (F)  |                      |              |                     |                              |  |
| (G)  |                      |              |                     |                              |  |
| (H)  |                      |              |                     |                              |  |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.)   | •                    |              |                     |                              |  |
| Part VIII Investments—Program Related.  Complete if the organization answered 'Yes' on Form 990, I   | Part IV, I           | ine 11c      | . See Form 990, I   | Part X, line                 | 13.  |
| (a) Description of investment  |                      |              | (b) Book value      |                              | od of valuation:<br>d-of-year market       |
| (1)  |                      |              |                     |                              | value                                      |
| (2)  |                      |              |                     |                              |  |
| (3)  |                      |              |                     |                              |  |
| (4)  |                      |              |                     |                              |  |
| (5)  |                      |              |                     |                              |  |
| (6)  |                      |              |                     |                              |  |
| (7)  |                      |              |                     |                              |  |
| (8)  |                      |              |                     |                              |  |
| (9)  |                      |              |                     |                              |  |
| Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.)  Part IX Other Assets. Complete if the organization answered 'Yes' on Form 990, P  (a) Description  (1)  | art IV, lii          | ►<br>ne 11d. | See Form 990, Par   |                              | <b>b)</b> Book value                       |
| (2)  |                      |              |                     |                              |  |
| (3)  |                      |              |                     |                              |  |
| (4)  |                      |              |                     |                              |  |
| (5)  |                      |              |                     |                              |  |
| (6)  |                      |              |                     |                              |  |
| (7)  |                      |              |                     |                              |  |
| (8)  |                      |              |                     |                              |  |
| (9)  |                      |              |                     |                              |  |
| Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.)  Part X Other Liabilities.   |                      |              |                     | <b>•</b>                     |  |
| Complete if the organization answered 'Yes' on Form 990, P  1. (a) Description of liability  |                      | ne 11e       | or 11f.See Form     | 990, Part >                  | (, line 25. (b) Book value                 |
| (1) Federal income taxes   | <u> </u>             |              |                     |                              |  |
| (2)  |                      |              |                     |                              | +  |
| (3)  |                      |              |                     |                              | <del> </del>                               |
| (4)  |                      |              |                     |                              |  |
| (5)  |                      |              |                     |                              |  |
| (6)  |                      |              |                     |                              |  |
| (7)  |                      |              |                     |                              |  |
| (8)  |                      |              |                     |                              |  |
| (9)  |                      |              |                     |                              |  |
| (10)   |                      |              |                     |                              |  |
| Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.)  |                      |              | <u> </u>            |                              |  |
| 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnot organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check |                      |              | the footnote has be | en provided                  | _  |

| Sc. FILED: NEW19 YORK        | COUNTY CLERK         | 08/17/2021 | 03:06 | <b>PM</b> PEX NO. 157709/2021<br>Page <b>5</b> |
|------------------------------|----------------------|------------|-------|--|
| Part XIII DSupplemental Info | ormation (continued) |            | RECEI | VED NYSCEF: 08/17/2021                         |
| Return Reference             |                      | Explana    | ation |  |
|                              |                      |            |       |  |
|                              |                      |            |       | _  |
|                              |                      |            |       |  |
|                              |                      |            |       |  |
|                              |                      |            |       |  |
|                              |                      |            |       |  |
|                              |                      |            |       | Schedule D (Form 990) 2019                     |

| NYSCEF DOC. NO. 43 | }                 | RECEIVED NYSCEF: 08/17/2021 |
|--------------------|-------------------|-----------------------------|
|                    | Software ID:      |                             |
|                    | Software Version: |                             |
|                    | EIN:              | 31-1726494                  |
|                    | Name:             | ECOHEALTH ALLIANCE INC      |
|                    |                   |                             |
|                    |                   |                             |

YORK COUNTY CLERK 08/17/2021 03:06 PMPEX NO. 157709/2021

| Supplemental | Information |
|--------------|-------------|
|              |             |

| Return Reference           | Explanation  |  |  |  |
|----------------------------|--|--|--|--|
| SCHEDULE D. PART X. LINE 2 | ASC 740 FOOTNOTE MANAGEMENT HAS EVALUATED THEIR INCOME TAX POSITIONS UNDER THE GUIDANCE IN |  |  |  |

CLUDED IN ASC 740. BASED ON THEIR REVIEW, MANAGEMENT HAS NOT IDENTIFIED ANY MATERIAL UNCER TAIN TAX POSITIONS TO BE RECORDED OR DISCLOSED IN THE FINANCIAL STATEMENTS.

| Supplemental Intermation DK | COUNTY CLERK | 08/17/2021 0      | 3 • 0 6 ⊅M      | DEX NO. | 157709/2021 |
|-----------------------------|--------------|-------------------|-----------------|---------|-------------|
| TITO TOTAL                  | COUNTY CHEKK | 00/ ± / / 202 ± 0 | <b>5.00</b> 111 | J       |             |
| Return Reference            |              | Explanation       | RECEIVED        | NYSCEF: | 08/17/2021  |

SCHEDULE D PART XI LINE 2D: direct cost of special events: 51,371

| <del>(PLLUDD: NE</del> | <del>.W I U.</del> | <del>7</del> | COONII | CHERR | <del>- UO / I / /</del> | <del>4041</del> | <del>03:00</del> | P IVI      |              |
|------------------------|--------------------|--------------|--------|-------|-------------------------|-----------------|------------------|------------|--------------|
| NYSCHE DOCERE          | <b>拾 43</b>        |              |        |       |                         | Explanatio      | n RECET          | VED NYSCEF | : 08/17/2021 |

SUBDISMOST AND COLLEGE OF THE OR 17/2021 02.06 TANDEX NO. 157709/2021

SCHEDULE D PART XI LINE 4B: Loss on disposal of fixed assets: -44,978

| Supplemental Interpretation Dis | COIINTY | CT.FRK | 08/17  | /2021       | 03.06   | DIMPEX NO | O. 157709/2021 |
|---------------------------------|---------|--------|--------|-------------|---------|-----------|----------------|
| CITOT NON TOTAL                 |         | CHERT  | 00, ±1 | <b>2021</b> | 03.00   | <u> </u>  |                |
| NYSCHURD Reference              |         |        |        | Explanatio  | n RECET | VED NYSCI | EF: 08/17/2021 |

SCHEDULE D, PART XII LINE 2D: direct cost of special events: 51,371

| THILL THE IN HOW     |       |          | L L H R K | -                    |             |               |         | <del>1</del> 3,,03,2021 |  |
|----------------------|-------|----------|-----------|----------------------|-------------|---------------|---------|-------------------------|--|
| (T TUUD • 11UM       | 10111 | <u> </u> | CHERT     | <del>007 ± 7</del> 7 | DODI O      | 3 · 0 0 1 1·1 |         |                         |  |
| Peturn Peference     |       |          |           |                      | Explanation |               | •       |                         |  |
| NYS Return Reterence | 43    |          |           |                      | Explanation | CHALLADAS     | MVCCFF: | 08/17/2021 L            |  |

SUBDIGMENTAL INTERNATIONAL COLUMNIC COL

Schedule D, Part XII, Line 4B: Loss on disposal of fixed assets: -44,978

| efre GRAPHIC NIKW                                   | - PKOKek                           | PEQUENTY                            | ASCFIL <b>GCIPUS</b> ta (  | 98/17/2021 (   | 03:00          |   | <b>9349573002134</b> 1   |
|---|------------------------------------|-------------------------------------|--|--|----------------|---|--|
| SCHIBDULEDEC. NO                                    | <sup>4</sup> State                 | ement of A                          | Activities   | Outside the Un   | iteď S         | tates nys   | 1F9MB N08 1545,0963.1  |
| (1 5 555)   | ► Comp                             | lete if the organiz                 |  | Yes" to Form 990, Part IV, I   | line 14b, 1    | .5, or 16.  | 2019   |
| Department of the Treasury Internal Revenue Service | ,                                  | ► Go to <i>www.irs.g</i>            |  | to Form 990.<br>nstructions and the latest i   | nformatio      | n.  | Open to Public<br>Inspection                                   |
| Name of the organization<br>ECOHEALTH ALLIANCE INC  | _                                  |                                     |  |  |                | Employer iden   | tification number  |
|   | <b>-</b>                           |                                     |  |  |                | 31-1726494  |  |
|   | <b>nformation</b><br>Part IV, line |                                     | Outside the U  | Jnited States. Comple  | ete if the     | organization a  | nswered "Yes" on   |
| other assistance, t                                 | he grantees'                       | eligibility for th                  | e grants or assi   | substantiate the amoun<br>stance, and the selectior  | criteria       |   | ☑ Yes □ No   |
| 2 For grantmakers<br>outside the United             |                                    | Part V the orga                     | inization's proce  | dures for monitoring the   | use of it      | ts grants and oth   | ner assistance   |
| 3 Activites per Region                              | . (The followii                    | ng Part I, line 3 t                 | able can be dupli  | icated if additional space is  | s needed.      | )   |  |
| (a) Region  |                                    | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in the region | (d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region) | program<br>spe | vity listed in (d) is a<br>n service, describe<br>ecific type of<br>(s) in the region | (f) Total expenditures<br>for and investments<br>in the region |
| See Add'l Data                                      |                                    |                                     |  | regiony  |                |   |  |
|   |                                    |                                     |  |  |                |   |  |
|   |                                    |                                     |  |  |                |   |  |
| 3a Sub-total  |                                    | 18                                  |  |  |                |   | 2,207,067  |
| <b>b</b> Total from continuati<br>Part I            | on sneets to                       |                                     |  |  |                |   |  |
| c Totals (add lines 3a                              | and 3b)                            | 18                                  |  |  |                |   | 2,207,067  |
|   |                                    |                                     |  |  |                |   |  |

Cat. No. 50082W Schedule F (Form 990) 2019

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

| Schedule Form *** YORK COUNTY CLERK 08/17/2021 03:06 PM INDEX NO. 15770 图 |   |  |   |   |   |   |   |  |
|---|---|--|---|---|---|---|---|--|
| Part II Grants a  | ind Other As<br>the 15, for an                        | sistance to Organ<br>y recipient who rece    | <b>izations of Entitie</b><br>ived more than \$5, | os <b>Outside the Uni</b><br>,000. Part II can be | <b>ted States.</b> Comple<br>duplicated if addition | ete if the organization<br>onal space is needed | on answered "Yes" on<br>RECEIVED NYSCE      | n Form 990<br>F: 08/17/2021                                    |
| 1 (a) Name of organization  | (b) IRS code<br>section<br>and EIN (if<br>applicable) | (c) Region                                   | (d) Purpose of<br>grant                           | (e) Amount of<br>cash grant                       | (f) Manner of<br>cash<br>disbursement               | (g) Amount<br>of noncash<br>assistance          | (h) Description<br>of noncash<br>assistance | (i) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) |
| See Add'l Data  |   |  |   |   |   |   |   |  |
|   |   |  |   |   |   |   |   |  |
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|   |   |  |   |   |   |   |   |  |
| 2 Enter total number  | er of recipient                                       | organizations listed and the grantee or coun | bove that are recogn                              | nized as charities by t                           | the foreign country, i                              | recognized as tax-                              |   | 16   |
|   | •   | -  | •   |   | ·   |   | · · · · · · · · · · · · · · · · · · ·       | 2  |
| 3 Enter total numb  | er or other org                                       | anizations or entities                       |   |   |   |   | Schadula                                    | <br>F (Form 990) 2019  |
|   |   |  |   |   |   |   | Schedule                                    | LI CITII DOUL EULD   |

| Schedule French Straw 9 VI  | ORK COUNTY             | CLERK                    | 08/17/2021                  | 03:06 PM                           |  | INDEX  | K NO. 157709Fage 31  |
|---|------------------------|--------------------------|-----------------------------|------------------------------------|--|--|--|
| Schart III Grants and 9<br>Part III Grants And 9<br>Part III can be | ther Assistance to     | o Individuals            | Outside the Unite           | ed States. Complete if             | f the organization an                  | swered "Yes" on Form S                             | 990, Part IV, line 16  |
| Part III can be   | duplicated if addition | <u>onal space is r</u>   | needed.                     |                                    |  | RECEIVED N.  | 15CEF • 00/17/2021   |
| (a) Type of grant or assistance                                     | (b) Region             | (c) Number of recipients | (d) Amount of<br>cash grant | (e) Manner of cash<br>disbursement | (f) Amount of<br>noncash<br>assistance | <b>(g)</b> Description<br>of noncash<br>assistance | (h) Method of<br>valuation<br>(book, FMV,<br>appraisal, other) |
|   |                        |                          | ļ                           |                                    |  |  |  |
|   |                        |                          |                             |                                    |  |  |  |
|   |                        |                          |                             |                                    |  |  |  |
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|   |                        |                          |                             |                                    | <u>.I</u>                              |  |  |

| H        | TIRD NAW YORK COUNTY CLARK US/1//2021 U3-1  | 10 P. 1011-1-77            | 110: 13// | J J / E 100 I |
|----------|---|----------------------------|-----------|---------------|
| Pai<br>N | TV Foreign Forms SCLF DOC. NO. 43   | CEIVED NYS                 | CEF: 08/1 | 17/2021       |
| 1        | Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Instructions for Form 926)   | Corporation (see           |           | <b>☑</b> No   |
| 2        | Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organizatio required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Instructions for Forms 3520 and 3520-A; don't file with Form 990) | l Receipt of<br>Owner (see | . Yes     | <b>☑</b> No   |
| 3        | Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Corporations. (see Instructions for Form 5471)   | Certain Foreign            | ☐Yes      | <b>☑</b> No   |
| 4        | Was the organization a direct or indirect shareholder of a passive foreign investment company or a fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions  | Return by a                | _         | <b>☑</b> No   |
| 5        | Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Fore (see Instructions for Form 8865)  | ign Partnerships           | Yes       | <b>☑</b> No   |
| 6        | Did the organization have any operations in or related to any boycotting countries during the tax ye organization may be required to separately file Form 5713, International Boycott Report (see Instru 5713; don't file with Form 990).   | ctions for Form            | ☐Yes      | <b>✓</b> No   |

|        |  | <u>COIINTY</u>                 | $CI.\overline{FRK}$                  | <u> </u>                              | <u> </u>          | <b>DIMI</b> DEX NO. | 15//09/ <b>5/9</b> |
|--------|--|--------------------------------|--------------------------------------|---------------------------------------|-------------------|---------------------|--------------------|
| Part v | Supplemental Informa   | ation                          | <u> </u>                             | · · · · · · · · · · · · · · · · · · · |                   |                     | - 00/15/0001       |
| NISCE  | Supplemental Informa<br>F Provide the information                                | required by P                  | art I, line 2 (                      | monitoring of funds                   | s); Part I, ቨቨር 5 | ŢĊŎĬŨŢĸŊŶŔŶĠĔĠ      | counting method;   |
|        | amounts of investments<br>method); and Part III, c<br>any additional information | vs. expenditu<br>olumn (c) (es | ures per regionities<br>etimated num | on); Part II, line 1 (                | accounting met    | hod); Part III (a   | accounting         |
|        |  |                                |                                      |                                       |                   |                     |                    |

| •                   | F, Supplemental Information  |
|---------------------|--|
| Return<br>Reference | Explanation  |
| SCHEDULE F          | SUB-CONTRACT AGREEMENTS ARE SIGNED WITH THE RECIDIENT AND ARE SELECTED BASED ON EXPERTISE AND CAPACITY |

SITE VISITS, WRITTEN REPORTS, EXPENSE DOCUMENTATION AND OTHER REVIEW MECHANISMS.

PART I, LINE 2

CRITERIA, CONSISTENT WITH US FEDERAL UNIFORM GUIDELINES. EXPENDITURES AND OUTCOMES ARE MONITORED THROUGH

| Additional data A   | ORK COUN                            | TY CLER  | K 08/17/2023   | 1 03:06 PMPEX  | NO. 157709/2021                      |  |  |  |  |
|---|-------------------------------------|--|--|--|--------------------------------------|--|--|--|--|
| NYSCEF DOC. NO. 43 Software ID: RECEIVED NYSCEF: 08/17/2          |                                     |  |  |  |                                      |  |  |  |  |
| Software Version:   |                                     |  |  |  |                                      |  |  |  |  |
| <b>EIN:</b> 31-1726494  |                                     |  |  |  |                                      |  |  |  |  |
| Name: ECOHEALTH ALLIANCE INC                                      |                                     |  |  |  |                                      |  |  |  |  |
| Form 990 Schedule F Part I - Activities Outside The United States |                                     |  |  |  |                                      |  |  |  |  |
| (a) Region  | (b) Number of offices in the region | (c) Number of<br>employees or<br>agents in<br>region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures<br>for region |  |  |  |  |

| offices in the | employees of | in region (by type) (i.e., | is a program service,     | Tot regi |
|----------------|--------------|----------------------------|---------------------------|----------|
| region         | agents in    | fundraising, program       | describe specific type of |          |
|                | region       | services, grants to        | service(s) in region      |          |
|                | _            | recipients located in the  |                           |          |
|                |              | region)                    |                           |          |

CONSERVATION&EMERGING Grantmaking Sub-Saharan Africa 450,435

East Asia and the Pacific Grantmaking CONSERVATION&EMERGING 897,137

| FORTISPE Checking Pa                       | <b>ORK<sup>AC</sup>COUS</b>            | MarkenGT JEK   | krited 8t/169 / 202  | <del>+ 03.00</del>  | NO. 157709/2021                            |
|--|--|--|--|---|--|
| NYSCEF DOC. NO.                            | 43 (b) Number of offices in the region | (c) Number of<br>employees or<br>agents in<br>region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, YS describe specific type of service(s) in region | CEF: Total expenditures<br>for region 2021 |
| Middle East and North Africa               | 4                                      |  | Grantmaking  | CONSERVATION&EMERGING   | 170,491                                    |
| Russia and the Newly<br>Independent States | 1                                      |  | Grantmaking  | CONSERVATION&EMERGING   | 79,868                                     |

\_\_\_\_\_

| FORTH PURPLE PROPERTY PROPERTY | $\nabla \nabla $ | Appropriate Propriet                                 | Writed States / 202  | 1 03:06 ₱M®EX  | NO. 157709/2021                                 |
|--------------------------------|---|--|--|--|---|
| NYSCE <sup>A</sup> DOC. NO. 4  | 3 (b) Number of offices in the region   | (c) Number of<br>employees or<br>agents in<br>region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | CEF: Total expenditures<br>CEF: Top region 2021 |
| South Asia                     | 1   |  | Grantmaking  | CONSERVATION&EMERGING  | 609,136   |

\_\_\_\_\_\_

**PM**PEX NO. 157709/2021 YORK GROSSNITH CLERKIE DE PIES 2021 03:06 (i) Method of (f) Manner of REGEAMOED ON YS CEFF CIPTION NYSCEF DOC codeO. 43 (e) Amount 1/21/12/1002/1 (c) Region (d) Purpose of grant (book, FMV, section cash non-cash organization non-cash and EIN(if cash grant disbursement assistance appraisal, assistance applicable) other) SUB-CONSERVATION&EMERGING 111,161 wire SAHARAN AFRICA SUB-CONSERVATION&EMERGING 28,256 lwire ISAHARAN AFRICA

YORK GROSSNITH CLERKIE DE PIES 2021 (i) Method of DOC<sup>(b)</sup> IRS code section (f) Manner of REGIATIVETE on (h) Cessription NYSCEF (a) Name of (e) Amount 1/21/12/10/21 (c) Region (d) Purpose of grant (book, FMV, cash non-cash organization and EIN(if non-cash disbursement assistance appraisal, cash grant applicable) assistance other) EAST ASIA CONSERVATION&EMERGING 54.176 wire IAND THE PACIFIC LEAST ASIA CONSERVATION&EMERGING 507.661 lwire AND THE PACIFIC

**PM**PEX NO. 157709/2021 YORK GROSSNITH CLERKIE DE PIES 2021 03:06 (i) Method of (f) Manner of REGEAMOED ON YS CEFF CIPTION NYSCEF DOC codeO. 43 (e) Amount 1/21/12/1002/1 (c) Region (d) Purpose of grant (book, FMV, section cash non-cash organization non-cash and EIN(if cash grant disbursement assistance appraisal, assistance applicable) other) EAST ASIA CONSERVATION&EMERGING 200,822 wire AND THE PACIFIC SUB-CONSERVATION&EMERGING 115,648 wire ISAHARAN AFRICA

| FOFTTPE BO                              |  |                                       | OUNTWITELENRING (     | 78/11/99                       | 2021 03:                                | :06 <b>₽</b> M₽  | X NO. 157                                       | 709/2021<br>(i) Method of                                 |
|---|--|---------------------------------------|-----------------------|--------------------------------|---|--|---|---|
| NYSCEF D<br>(a) Name of<br>organization | oc(b) IRS<br>code section<br>and EIN(if<br>applicable) | 43<br>(c) Region                      | (d) Purpose of grant  | (e) Amount<br>of<br>cash grant | (f) Manner of I<br>cash<br>disbursement | RGC <b>A 由 V 正 h</b> o <b>f</b> o | (h) Description<br>of<br>non-cash<br>assistance | (1) Method of / 1va/uat0b21 (book, FMV, appraisal, other) |
|   |  | MIDDLE<br>EAST AND<br>NORTH<br>AFRICA | CONSERVATION&EMERGING | 68,841                         | wire                                    |  |   |   |
|   |  | MIDDLE<br>EAST AND<br>NORTH<br>AFRICA | CONSERVATION&EMERGING | 33,481                         | wire                                    |  |   |   |

03:06 PMPEX NO. 157709/2021 YORK Grant on the Length of the Price 2021 (i) Method of (f) Manner of REGEAMOED ON YS CEFF CIPTION NYSCEF DOC codeO. 43 (e) Amount 1/21/12/10/21 (c) Region (d) Purpose of grant (book, FMV, section cash non-cash organization non-cash and EIN(if cash grant disbursement assistance appraisal, assistance applicable) other) 93,364 wire EAST ASIA ICONSERVATION&EMERGING AND THE PACIFIC SUB-CONSERVATION&EMERGING 117,378 wire ISAHARAN AFRICA

| NYSCEF D<br>(a) Name of organization | (b) IRS | 43<br>(c) Region                                 | (d) Purpose of grant  | (e) Amount<br>of<br>cash grant |      | _ | X NO. 157<br>YSCE(4) 08<br>Description of<br>non-cash<br>assistance | (i) Method of |
|--------------------------------------|---------|--|-----------------------|--------------------------------|------|---|---|---------------|
|                                      |         | Russia and the<br>Newly<br>Independent<br>States | CONSERVATION&EMERGING | 79,868                         | wire |   |   |               |
|                                      |         | Sub-Saharan<br>Africa                            | CONSERVATION&EMERGING | 16,885                         | wire |   |   |               |

YORK GOOSTNITY ILCANDED BY 1199 2021 03:06 (i) Method of DOC<sup>(b)</sup> IPS code section 中国CELENTEDONYS PEEF ! ptigg / NYSCEF (a) Name of (e) Amount (f) Manner of 1/3/42:00-21 (c) Region (d) Purpose of grant (book, FMV, cash non-cash and EIN(if organization non-cash cash grant disbursement assistance appraisal, applicable) assistance other) East Asia CONSERVATION&EMERGING 41,114 WIRE and the Pacific lEast Asia CONSERVATION&EMERGING 68,169 WIRE land the Pacific

| FOFTTEED                                | nedwiew Pa   | YORKGC                | OUNTYITICS PERKIE     | 18/11/9                        | 2021 03                                 | :06 <b>₽</b> M   | EX NO. 157                                      | 709/2021  |
|---|--|-----------------------|-----------------------|--------------------------------|---|--|---|---|
| NYSCEF D<br>(a) Name of<br>organization | OC(b) IRS<br>code section<br>and EIN(if<br>applicable) | 43<br>(c) Region      | (d) Purpose of grant  | (e) Amount<br>of<br>cash grant | (f) Manner of I<br>cash<br>disbursement | RGC <b>A市VIII</b> D o <b>f</b><br>non-cash<br>assistance | (h) Description<br>of<br>non-cash<br>assistance | (i) Method of<br>/ 1valuatloa1<br>(book, FMV,<br>appraisal,<br>other) |
|   |  | South Asia            | CONSERVATION&EMERGING | 15,093                         | WIRE                                    |  |   |   |
|   | l  | Sub-Saharan<br>Africa | CONSERVATION&EMERGING | 36,954                         | WIRE                                    |  |   |   |

| NY<br>(a) | (h) IDC |            | (d) Purpose of grant  | (e) Amount<br>of<br>cash grant | (f) Manner of F<br>cash<br>disbursement | X NO. 157<br>(h) Description<br>of<br>non-cash<br>assistance |   |
|-----------|---------|------------|-----------------------|--------------------------------|---|--|---|
|           |         | Africa     | CONSERVATION&EMERGING | ,                              |   |  | , |
|           |         | South Asia |                       | 594,043                        | Wire                                    |  |   |

| DHEDUHE GOC. NO. 4:  orm 990 or 990-EZ)  partment of the Treasury   | Func<br>Complete if the organization             | raisin<br>ation answe<br>ion entered<br>Attac | I <b>g Or</b><br>red "Yes"<br>more than<br>th to Form | Ormation Regainment Gaming Activitgen on Form 990, Part IV, lines 1 in \$15,000 on Form 990-EZ, line 990 or Form 990-EZ. | <b>ies</b><br>7, 18, or 19, or if the<br>ne 6a.                            | 2019 Open to Public Inspection                          |
|---|--|---|---|--|--|---|
| mal Revenue Service me of the organization  | ►Go to www                                       | irs.gov/For                                   | m990 for  | instructions and the latest in   |  | entification number                                     |
| DHEALTH ALLIANCE INC  |  |   |   |  | 31-1726494   |   |
|   | ivities. Complete if                             | _   |   | answered "Yes" on Fo   | rm 990, Part IV, line  | 17.   |
| Indicate whether the organ  | nization raised funds th                         | nrough any                                    | y of the fo   | ollowing activities. Check   | all that apply.  |   |
| ✓ Mail solicitations  |  |   | e   | Solicitation of non-   | government grants  |   |
| ✓ Internet and email soli   | citations  |   | f   | Solicitation of gove   | rnment grants  |   |
| ✓ Phone solicitations   |  |   | g   | Special fundraising  | events   |   |
| ✓ In-person solicitations   |  |   |   |  |  |   |
| Did the organization have<br>or key employees listed in<br>If "Yes," list the 10 highest<br>to be compensated at leas | Form 990, Part VII) of<br>paid individuals or en | entity in tities (fund                        | connection  | on with professional fundr   | aising services? 🗸 🗸   | es 🗆 No<br>er is  |
| Name and address of individe<br>or entity (fundraiser)  | ual (ii) Activity                                | fundrais<br>custo<br>cont                     | Did<br>ser have<br>ody or<br>rol of<br>outions?       | (iv) Gross receipts<br>from activity   | (v) Amount paid to<br>(or retained by)<br>fundraiser listed in<br>col. (i) | (vi) Amount paid to<br>(or retained by)<br>organization |
|   | SPRING GALA                                      | Yes   | No  |  |  |   |
| BOWEN AND COMPANY   |  |   | No  | 196,935  | 38,000   | 158,93  |
|   |  |   |   |  |  |   |
|   |  |   |   |  |  |   |
|   |  |   |   |  |  |   |
|   |  |   |   |  |  |   |
|   |  |   |   |  |  |   |
|   |  |   |   |  |  |   |
| al  |  |   | . ▶   | 196,935  | 38,000   | 158,93  |

| 2 3             | gross receipts greater than \$!  | (a)Event #1  gala  (event type)                          | (b) Event #2                                  | (c)Other events  0 (total number) | (d) Total events<br>(add col. (a) through<br>col. (c)) |
|-----------------|--|--|---|-----------------------------------|--|
| 1 2 3           | Gross receints   |  |   |                                   |  |
| 2 3             | Gross receints   |  |   |                                   |  |
| 3               | orous receipts i i i i   | 196,935  |   |                                   | 196,935  |
| 4               | Less: Contributions Gross income (line 1 minus line 2)   | 196,935  |   |                                   | 196,935  |
| I -             | Cash prizes  |  |   |                                   |  |
| \$es 6          |  | 40.074   |   |                                   | 42.074   |
| Direct Expenses | ,  | 13,371   |   |                                   | 13,371   |
| <b>五</b> 8      | -  |  |   |                                   |  |
| <u>j</u>        | Other direct expenses  | 38,000   |   |                                   | 38,000   |
| _               | <b>0</b> Direct expense summary. Add lines 4 t   | through 9 in column (d)                                  |   |                                   | 51,371   |
|                 | <b>1</b> Net income summary. Subtract line 10  |  |   | <b>.</b>                          | -51,371  |
| Part 1          | <b>Gaming.</b> Complete if the organic on Form 990-EZ, line 6a.  | anization answered "Ye                                   | s" on Form 990, Part I                        | IV, line 19, or reported          | I more than \$15,000                                   |
| Revenue         |  | (a) Bingo  | (b) Pull tabs/Instant bingo/progressive bingo | (c) Other gaming                  | (d) Total gaming (add col.(a) through col.(c))         |
| ~ <u>1</u>      | Gross revenue  |  |   |                                   |  |
| sesu 2          | Cash prizes  |  |   |                                   |  |
| 호<br>장<br>3     | Noncash prizes   |  |   |                                   |  |
| Direct Expense  | Rent/facility costs  |  |   |                                   |  |
| <u>ā</u> 5      | Other direct expenses  |  |   |                                   |  |
| 6               | Volunteer labor  | ☐ Yes%   | <ul><li>☐ Yes  %</li><li>☐ No</li></ul>       | ☐ Yes     %       ☐ No            |  |
| 7               | Direct expense summary. Add lines 2 t  | hrough 5 in column (d)                                   |   |                                   |  |
| 8               | Net gaming income summary. Subtrac   | t line 7 from line 1, columi                             | n ( <b>d</b> )                                |                                   |  |
| 9 E             | Enter the state(s) in which the organization licensed to conduct good for the conduct good for good for the conduct good for good for good for good for good for good for good fo | on conducts gaming activi<br>aming activities in each of | ties:these states?                            |                                   | ☐ Yes ☐ No   |
|                 | Were any of the organization's gaming lid  | censes revoked, suspended                                | d or terminated during th                     |                                   |  |

| Sc              | THIS GET OFFIN SKIPEW 90 FOR     | 9 COUNTY CLERK  | 08/17/2021                | 03:06 P₩             | DEX NO. 157 | 7709/2 <b>70921</b> |
|-----------------|----------------------------------|---|---------------------------|----------------------|-------------|---------------------|
| 11 <sub>N</sub> | YSCEF Does in No. 43             | gaming activities with nonmembers   | s?                        | RECEIVED             | NYSCEFTY (S | 3 <b>11746</b> 2021 |
| 12              | Is the organization a grantor, b | peneficiary or trustee of a trust or a  | member of a partnersh     |                      |             |                     |
|                 |                                  | e gaming?   |                           |                      | · · Yes     | □No                 |
| 13              | Indicate the percentage of gam   | · .   |                           |                      | 12-         | 0/                  |
| a               | The organization's facility .    |   |                           |                      | 13a         | <del>%</del>        |
| b<br>14         | •                                | the person who prepares the organ   |                           |                      | 13b         | 90                  |
| 14              | Enter the name and address of    | the person who prepares the organ   | mization's garming/specia | revents books and re | ecorus:     |                     |
|                 | Name ►                           |   |                           |                      |             |                     |
|                 | Address >                        |   |                           |                      |             |                     |
| 15a             | ~                                | ontract with a third party from who   | -                         |                      | · · □Yes    | Пио                 |
| b               |                                  | aming revenue received by the orgained by the third party $ hildsymbol{ ho}$ \$ |                           | and th               | ne          |                     |
| С               | If "Yes," enter name and addre   | ss of the third party:  |                           |                      |             |                     |
|                 | Name •                           |   |                           |                      |             |                     |
|                 | Address •                        |   |                           |                      |             |                     |
|                 |                                  |   |                           |                      |             |                     |
|                 |                                  |   |                           |                      |             |                     |
| 16              | Gaming manager information:      |   |                           |                      |             |                     |
|                 |                                  |   |                           |                      |             |                     |
|                 | Name ►                           |   |                           |                      |             |                     |
|                 | Gaming manager compensation      | n ▶ \$  |                           |                      |             |                     |
|                 |                                  |   |                           |                      |             |                     |
|                 | Description of services provided | <b>d</b> ▶  |                           |                      |             |                     |
|                 |                                  |   |                           |                      |             |                     |
|                 | ☐ Director/officer               | ☐ Employee  | ☐ Independ                | ent contractor       |             |                     |
|                 | Manadatana distributiona         |   |                           |                      |             |                     |
| 17<br>a         | Mandatory distributions:         | der state law to make charitable di   | stributions from the gam  | ning proceeds to     |             |                     |
| a               | <del>-</del>                     | ?   |                           | <del>-</del> •       | 🗆 Yes       | Пис                 |
| b               | Enter the amount of distributio  | ns required under state law distribu  | uted to other exempt org  | anizations or spent  | □ les       |                     |
|                 |                                  | pt activities during the tax year 🕨   | •                         |                      |             |                     |
| Par             |                                  | <b>rmation.</b> Provide the explanat<br>15b, 15c, 16, and 17b, as app           |                           |                      |             |                     |
|                 | Return Reference                 |   | Evnl                      | anation              |             |                     |

Schedule G (Form 990 or 990-EZ) 2019

effective proper proper proper proper proper property Ascriber property (17/2021 03:06 PM) Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I

(Form 990)

Department of the

RECEIVED NYSCEF: 08/17/2021 OMB No. 1545-0047

2019

INDEX.N.093493130022941

Open to Public Inspection

Schedule I (Form 990) 2019

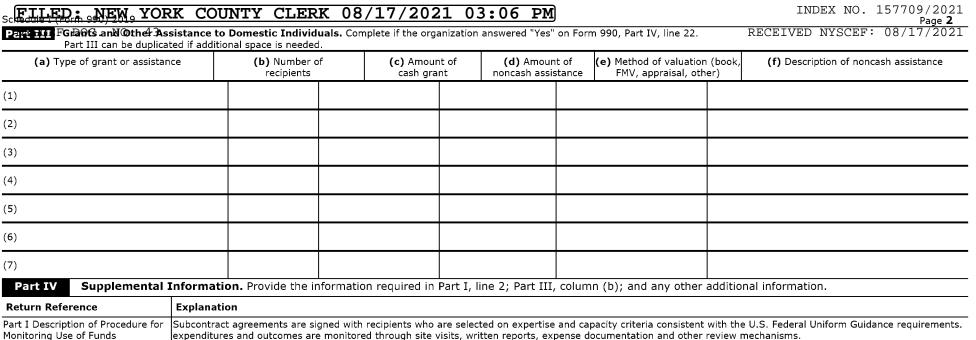
## **Grants and Other Assistance to Organizations.** Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22. ▶ Attach to Form 990.

► Go to www.irs.gov/Form990 for the latest information.

Treasury Internal Revenue Service Name of the organization **Employer identification number** ECOHEALTH ALLIANCE INC 31-1726494 Part I **General Information on Grants and Assistance** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and 1 ✓ Yes Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed. (a) Name and address of (b) EIN (c) IRC section (d) Amount of cash (e) Amount of non-(f) Method of valuation (q) Description of (h) Purpose of grant (if applicable) organization cash (book, FMV, appraisal, noncash assistance or assistance grant or government assistance other) (1) See Additional Data (2)(4)(5)(6)(7)(8)(9)(10)(11)(12)Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . .

Cat. No. 50055P



INDEX NO. 157709/2021 RECEIVED NYSCEF: 08/17/2021

CONSERVATION &

EMERGING DISEASES

Software Version: **EIN:** 31-1726494

Name: ECOHEALTH ALLIANCE INC

(d) Amount of cash (e) Amount of non- (f) Method of valuation

52-0892064

9 Babcock St Unit 3 Brookline, MA 02446

Association

Universities Space Research

7178 Columbia Gateway Drive columbia, MD 21046

| Form 990, Schedule I, Part | II, Grants and | Other Assistance to | Domestic Organiza | ations and Domest | ic Governments. |  |
|----------------------------|----------------|---------------------|-------------------|-------------------|-----------------|--|
|                            |                |                     |                   |                   |                 |  |

501(c)3

| (a) Name and address of<br>organization<br>or government | (b) EIN    | <b>(c)</b> IRC section if applicable | (d) Amount of cash<br>grant | (e) Amount of non-<br>cash<br>assistance | (f) Method of valuation<br>(book, FMV, appraisal,<br>other) | (g) Description of non-cash assistance | (h) Purpose of grant<br>or assistance |
|--|------------|--------------------------------------|-----------------------------|--|---|--|---------------------------------------|
| International Society for<br>Infectious Diseases INC     | 04-3371755 | 501(c)3                              | 15,446                      |  |   |  | CONSERVATION &<br>EMERGING DISEASES   |

63,116

| Form 998, Schedulett, Ports   | 行行子学教育にPerty Porty 和 Grants And Other Assistance 80/120m estio Birganiz ations and Momestic Governments. INDEX NO. 157709/2021 |                               |         |   |   |   |  |  |  |  |  |  |
|---|--|-------------------------------|---------|---|---|---|--|--|--|--|--|--|
| (a) Name and address of NYSC forganization NO · 43 or government          |  | (c) IRC section if applicable | , ,     | 1 | (f) Method of valuation<br>(book, FMV, appraisal,<br>other) | (g) Description of<br>non-cash assistance YSC | (h) Pyrpose of grant<br>CERT assistance / 2021 |  |  |  |  |  |
| Columbia University Mailman<br>722 West 168th St<br>new york, NY 10032    | 13-5598093   | 501(c)3                       | 414,173 |   |   |   | CONSERVATION &<br>EMERGING DISEASES            |  |  |  |  |  |
| Henry M Jackson Foundation<br>6720A Rockledge Drive<br>Bethesda, MD 20817 | 52-1317896   | 501(c)3                       | 258,768 |   |   |   | CONSERVATION &<br>EMERGING DISEASES            |  |  |  |  |  |

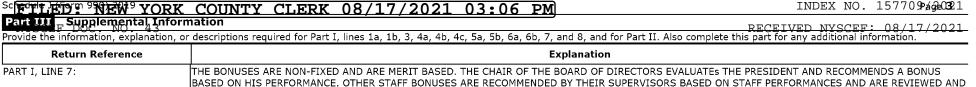
| e¶    | A CHADHIC M                              | MAN DECORDE PROPERTY ASC  | <b>iledræ</b> t       | ∍08/17/2021 03:06 PM   | ₽ <b>₽</b> ¥₩934 <b>9</b> 5 | <b>1300</b> 2          | <b>2341</b> |
|-------|--|---|-----------------------|--|-----------------------------|------------------------|-------------|
|       | <b>YECUTE J</b> OC.                      | NO. 43 <b>Comp</b>  | ensat                 | ion Information RECEIVED   | NYSCEP MB (                 | 18·/±5 <del>1</del> 5- | 201271      |
| (For  | m 990)                                   |   |                       | rustees, Key Employees, and Highest  |                             | 044                    |             |
|       |  | ► Complete if the organization  | Compensa<br>tion answ | ated Employees<br>vered "Yes" on Form 990, Part IV, line 23.   | 2                           | 019                    | 9           |
| Donor | tment of the Treasury                    |   | ▶ Attach              | to Form 990.<br>instructions and the latest information.   | One                         | n to Pu                | ıblic       |
| -     | al Revenue Service                       | T do to <u>www.ms.qov/ro.</u>   | 101                   |  | In                          | spection               | on          |
|       | me of the organiza<br>DHEALTH ALLIANCE I |   |                       | Employe  | r identification            | numbei                 | r           |
|       |  |   |                       | 31-17264   | 94                          |                        |             |
| Pa    | rt I Questi                              | ons Regarding Compensation  |                       |  |                             |                        |             |
|       | Chl. H                                   |   | d - d                 | Ship Callegrates to the Cartesian Community of the days of Community of the Cartesian Community of the |                             | Yes                    | No          |
| 1a    |  |   |                       | the following to or for a person listed on Form y relevant information regarding these items.  |                             |                        |             |
|       | _  | s or charter travel<br>·  | 님                     | Housing allowance or residence for personal u  |                             |                        |             |
|       |  | companions<br>nification and gross-up payments  | 님                     | Payments for business use of personal resider<br>Health or social club dues or initiation fees   | nce                         |                        |             |
|       | _  | nary spending account   | H                     | Personal services (e.g., maid, chauffeur, chef   | 1                           |                        |             |
|       | Discretion                               | ary speriality account  |                       | rersonal services (e.g., maia, endancar, ener,   | ′                           |                        |             |
| b     |  |   |                       | follow a written policy regarding payment or<br>ve? If "No," complete Part III to explain  | 1                           | ь                      |             |
| 2     |  | ation require substantiation prior to rein  |                       | or allowing expenses incurred by all r, regarding the items checked on Line 1a? .  |                             | 2                      |             |
|       | ,  |   |                       |  |                             |                        |             |
| 3     |  | if any, of the following the filing organi<br>EO/Executive Director. Check all that a |                       | ed to establish the compensation of the  |                             |                        |             |
|       |  |   |                       | CEO/Executive Director, but explain in Part III.   |                             |                        |             |
|       | ☐ Compensa                               | ation committee   |                       | Written employment contract  |                             |                        |             |
|       | _ '                                      | ent compensation consultant   |                       | Compensation survey or study   |                             |                        |             |
|       | ☐ Form 990                               | of other organizations  | <b>✓</b>              | Approval by the board or compensation comm   | nittee                      |                        |             |
| 4     | During the year, related organiza        |   | art VII, Se           | ction A, line 1a, with respect to the filing organ   | ization or a                |                        |             |
| а     | Receive a sever                          | ance payment or change-of-control pay   | yment?.               |  | 4                           | a                      | No          |
| b     |  | · · ·   |                       | ified retirement plan?   |                             | _                      | No          |
| c     | •  |   |                       | nsation arrangement?   | 4                           | С                      | No          |
|       | If "Yes" to any o                        | of lines 4a-c, list the persons and provi   | de the app            | plicable amounts for each item in Part III.  |                             |                        |             |
|       | Only 501(c)(3                            | ), 501(c)(4), and 501(c)(29) organ  | nizations             | must complete lines 5-9.   |                             |                        |             |
| 5     |  | ed on Form 990, Part VII, Section A, lir  |                       |  |                             |                        |             |
|       | compensation co                          | ontingent on the revenues of:   |                       |  |                             |                        |             |
| а     | The organization                         | 1?  |                       |  | 5                           | a                      | No          |
| b     |  | anization?  |                       |  | . 5                         | b                      | No          |
| _     | ,  | 5a or 5b, describe in Part III.   | 4 11.1                |  |                             |                        |             |
| 6     | compensation co                          | ed on Form 990, Part VII, Section A, lir<br>ontingent on the net earnings of:         | •                     |  |                             |                        |             |
| a     |  | 1?  |                       |  | 6                           | _                      | No          |
| b     | ,  | anization?  |                       |  | 6                           | D                      | No          |
| 7     | -  | ed on Form 990, Part VII, Section A, lir  | o 15 did              | the organization provide any penfixed  |                             |                        |             |
| •     |  |   |                       | rt III   | . [ ;                       | Yes                    |             |
| 8     | subject to the in                        |   | egulations            | red pursuant to a contract that was<br>section 53.4958-4(a)(3)? If "Yes," describe<br>   |                             |                        | No          |
| 9     |  |   |                       | presumption procedure described in Regulation  |                             |                        | 1.5         |
| For I | Danerwork Pedu                           | ction Act Notice, see the Instruction   | one for Ec            | orm 990. Cat. No. 50053T   | Schedule 1 (Fo              | rm 990                 | 2019        |

INDEX NO. 157709/2021

Schedule J (Form 990) 2019

Part II F Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional Brace is field to the copies of additional Brace is field to the copies if additional Brace is field to the copies is field to the copies if additional Brace is field to the copies is field to the copies if additional Brace is field to the copies is field to the copies in the copies in the copies is field to the copies in the

| For each individual whose instructions, on row (ii). <b>I Note.</b> The sum of column | o no | ot list any individuals that        | are not listed on Form 9                                    |  |  | -                               |                                    | t individual.  |
|---|------|-------------------------------------|---|--|--|---------------------------------|------------------------------------|--|
| (A) Name and Title  |      | (B) Breakdown (i) Base compensation | of W-2 and/or 1099-MIS  (ii) Bonus & incentive compensation | C compensation (iii) Other reportable compensation | (C) Retirement and other deferred compensation | ( <b>D)</b> Nontaxable benefits | (E) Total of columns<br>(B)(i)-(D) | (F) Compensation in<br>column (B) reported<br>as deferred on prior<br>Form 990 |
| 1 PETER DASZAK PHD<br>PRESIDENT   | (i)  | 324,265                             | 47,000  | 0  | 29,701   | 59,402                          | 460,368                            |  |
|   | (ii) | 0                                   | 0   | 0  | 0  | 0                               | 0                                  |  |
| 2 ARMINE ARUSTAMYAN<br>CHIEF FINANCIAL OFFICER  | (i)  | 201,563                             | 0   | 0  | 16,125   | 16,704                          | 234,392                            |  |
|   | (ii) | 0                                   | 0   | 0  | 0  | 0                               | 0                                  |  |
| <b>3</b> EVELYN LUCIANO<br>SR. FEDERAL GRANTS   | (i)  | 144,516                             | 0   | 0  | 11,561   | 40,291                          | 196,368                            |  |
| DIRECTOR  | (ii) | 0                                   | 0   | 0  | 0  | 0                               | 0                                  |  |
| 4 ELLEN CARLIN<br>SENIOR POLICY ADVISER   | (i)  | 158,651                             | 0   | 0  | 12,692   | 13,603                          | 184,946                            |  |
|   | (ii) | 0                                   | 0   | 0  | 0  | 0                               | 0                                  |  |
| 5 ANTHONY RAMOS<br>SENIOR DIRECTOR OF   | (i)  | 138,169                             | 0   | 0  | 11,053   | 1,305                           | 150,527                            |  |
| MARKETING   | (ii) | 0                                   | 0   | 0  | 0  | 0                               | 0                                  |  |
| 6 WILLIAM KARESH<br>EXECUTIVE VICE PRESIDENT  | (i)  | 271,312                             | 10,000  | 0  | 22,505   | 15,026                          | 318,843                            |  |
|   | (ii) | 0                                   | 0   | 0  | 0  | 0                               | 0                                  |  |
| <b>7</b> JONATHAN EPSTEIN VICE PRESIDENT  | (i)  | 155,552                             | 5,000   | 0  | 12,844   | 40,291                          | 213,687                            |  |
|   | (ii) | 0                                   | 0   | 0  | 0  | 0                               | 0                                  |  |
| 8 KEVIN OLIVAL<br>VICE PRESIDENT FOR  | (i)  | 150,194                             | 0   | 0  | 12,015   | 40,291                          | 202,500                            |  |
| RESEARCH  | (ii) | 0                                   | 0   | 0  | 0  | 0                               | 0                                  |  |
| 9 ALEKSEI CHMURA<br>CHIEF OF STAFF  | (i)  | 135,609                             | 0   | 0  | 10,849   | 28,459                          | 174,917                            |  |
|   | (ii) | 0                                   | 0   | 0  | 0  | 0                               | 0                                  |  |
|   |      |                                     |   |  |  |                                 |                                    |  |
|   |      |                                     |   |  |  |                                 |                                    |  |
|   |      |                                     |   |  |  |                                 |                                    |  |
|   |      |                                     |   |  |  |                                 |                                    |  |
|   |      |                                     |   |  |  |                                 |                                    |  |
|   |      |                                     |   |  |  |                                 |                                    |  |
|   |      |                                     |   |  |  |                                 |                                    |  |



Schedule 1 (Form 990) 2019

PART I, LINE 7:

APPROVED BY THE PERSONNEL COMMITTEE COMPRISED OF THE PRESIDENT, CHIEF FINANCIAL OFFICER AND OPERATIONAL DIRECTOR.

| e¶               | ECHAPPHIC M  | KW-D                                     | KOTROK PE     | COMPAIL.                      | / AsCFILECTRICITA () 8 / 1                                      | 7/2021 03   | :06        | PMPE     | <b>₿</b> Ĺ₩0934                  | 193713                | 00/24 | 341      |
|------------------|--|--|---------------|-------------------------------|---|---|------------|----------|----------------------------------|-----------------------|-------|----------|
| SÇ₩              | EDULE MOC  | NO.                                      |               |                               | loncash Contri  |   |            |          | YSC <b>EP</b> M                  |                       |       |          |
| Depar            | tment of the Treasury                                      | ▶ Atta                                   | ch to Form    | organizati<br>990.            | ons answered "Yes" on F<br>90 for the latest informa            | orm 990, Part IV, lin   | nes 29     | or 30.   |                                  | 20<br>pen to<br>Inspe | ) Pub | lic      |
|                  | e of the organizat   |  |               |                               |   |   | E          | mployer  | identifica                       | tion nu               | ımber |          |
| ECOH             | EALTH ALLIANCE IN  | C  |               |                               |   |   | 3          | 1-172649 | 94                               |                       |       |          |
| Pa               | rt I Types   | of Pro                                   | perty         |                               |   |   |            |          |                                  |                       |       |          |
|                  |  |  |               | (a)<br>Check if<br>applicable | (b)<br>Number of contributions or<br>items contributed          | (c)<br>Noncash contribut<br>amounts reported<br>Form 990, Part VIII<br>1g | lon        |          | (d<br>1ethod of d<br>ash contrib | étermir               | _     | s        |
| 2<br>3<br>4<br>5 | -  | easures<br>nterests<br>ations<br>isehold |               |                               |   |   |            |          |                                  |                       |       |          |
| 6<br>7           | Cars and other vi<br>Boats and planes                      |  |               |                               |   |   |            |          |                                  |                       |       |          |
| 8                | Intellectual prope   | erty .                                   |               |                               |   |   |            |          |                                  |                       |       |          |
| 9<br>10          | Securities—Public Securities—Close                         | ,  |               | X                             | 5   | 7   | 74,639     | SALES PR | OCEEDS                           |                       |       |          |
|                  | Securities—Partr<br>or trust interest                      | nership,                                 | LLC,          |                               |   |   |            |          |                                  |                       |       |          |
|                  | Securities—Misce   |  | s             |                               |   |   |            |          |                                  |                       |       |          |
| 13               | Qualified conserve contribution—Hi structures              | istoric                                  |               |                               |   |   |            |          |                                  |                       |       |          |
|                  | Qualified conserve contribution—Of                         | ther .                                   |               |                               |   |   |            |          |                                  |                       |       |          |
| 15<br>16         | Real estate—Res<br>Real estate—Con                         |  |               |                               |   |   |            |          |                                  |                       |       |          |
| 17               | Real estate—Oth  |  |               |                               |   |   |            |          |                                  |                       |       |          |
| 18               | Collectibles .   |  |               |                               |   |   |            |          |                                  |                       |       |          |
| 19               | Food inventory   |  |               |                               |   |   |            |          |                                  |                       |       |          |
| 20<br>21         | Drugs and medic  | aı suppi                                 | iles .        |                               |   |   |            |          |                                  |                       |       |          |
|                  | Historical artifact  | ts                                       |               |                               |   |   |            |          |                                  |                       |       |          |
| 23               | Scientific specim  | ens .                                    |               |                               |   |   |            |          |                                  |                       |       |          |
| 24               | Archeological art  |  |               |                               |   |   |            |          |                                  |                       |       |          |
| 25               | VARIO<br>AUCTIO<br>Other ► ( ITEMS                         | ON                                       | )             | X                             | 25  | 2   | 29,150     | SALES PR | ICE OF ITE                       | MS                    |       |          |
| 26               | Other ► (  |  |               |                               |   |   |            |          |                                  |                       |       |          |
| 27               | Other ▶ (  |  |               |                               |   |   |            |          |                                  |                       |       |          |
| 28               | Other ▶ (  |  |               |                               |   |   |            |          |                                  |                       |       |          |
| 29               |  |  |               |                               | ation during the tax year for<br>B, Part IV, Donee Acknowled    |   |            | 29       |                                  |                       | 34    |          |
| 30a              | must hold for at   | least th                                 | nree years fr | om the date                   | y contribution any property<br>e of the initial contribution, a | ınd which isn't require   |            |          |                                  | 30a                   | Yes   | No<br>No |
| b                | If "Yes," describ  | e the ar                                 | rangement i   | n Part II.                    |   |   |            |          |                                  |                       |       |          |
| 31               | Does the organi  | zation h                                 | ave a gift ac | ceptance p                    | olicy that requires the review                                  | of any nonstandard  | contrib    | utions?  |                                  | 31                    |       | No       |
|                  | contributions?   |  |               |                               | or related organizations to s                                   | olicit, process, or sell  | noncas     | h<br>    |                                  | 32a                   |       | No       |
|                  | If "Yes," describ<br>If the organizati<br>describe in Part | ion didn'                                |               | amount in c                   | olumn (c) for a type of prop                                    | erty for which column   | ı (a) is ( | checked, |                                  |                       |       |          |
| Eor D            | anerwork Reductio  | on Act N                                 | atica caath   | Instruction                   | s for Form 000  | Cat No. 5   | 12271      |          | Schedule M                       | 4 (Eorm               | 000)  | 2010     |

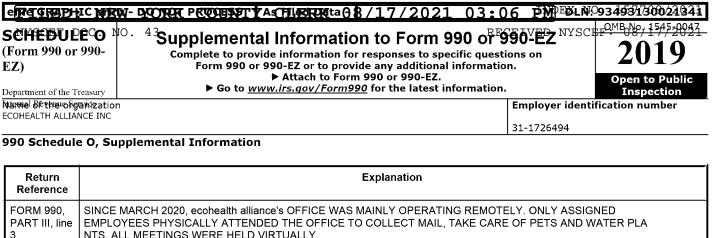
Schadule Notes and Supplemental Information. Provide the information required by Part 1, lines 30b, 32b, and 33, and whether the organization Part II Supplemental Information. Provide the information required by Part I, lines 305, 325, and 325 complete this part for any additional information. Return Reference Explanation

Return Reference Explanation

CHEDULE M, PART I, COLUMN (B): THE NUMBER IN PART I, COLUMN B IS REFERRING TO THE NUMBER OF CONTRIBUTIONS.

SCHEDULE M, PART I, COLUMN (B): THE NUMBER IN PART I, COLUMN B IS REFERRING TO THE NUMBER OF CONTRIBUTIONS.

Schedule M (Form 990) (2019)



CLERK 08/17/2021 03:06 PMPEX NO. 157709/2021 Educatic Overiboletischen Implinion Explanation Return Reference

FORM 990. ECOHEALTH ALLIANCE DISTRIBUTES COMPLETED COPIES OF FORM 990 TO EACH BOARD MEMBER FOR REVIE W PRIOR TO FILING, AFTER SATISFACTORY REVIEW, THE RETURN IS SUBSEQUENTLY FILED. LINE 11B

PART VI. SECTION B.

## 99F51EW NEW PORK TOWNY CLERK 08/17/2021 03:06 PM EX NO. 157709/2021 NYSCEF DOC. NO. 43 Return Reference Explanation

| FORM 990,  | THE CONFLICT OF INTEREST POLICY IS CONTAINED IN THE ORGANIZATION BYLAWS. ALL FINANCIAL TRA |
|------------|--|
| PART VI,   | NSACTIONS AND CONTRACTUAL ARRANGEMENTS ARE MONITORED BY THE CHIEF FINANCIAL OFFICER WHO WI |
| SECTION B, | LL PREPARE DOCUMENTATION FOR REVIEW BY THE BOARD AS REQUIRED BY THESE POLICIES. ANY CONFLI |
| LINE 12C   | CT OF INTEREST MATTER THAT INVOLVES STAFF MEMBERS IS BROUGHT TO THE ATTENTION OF THE PRESI |
|            | DENT OF ECOHEALTH ALLIANCE. IF IT INVOLVES THE PRESIDENT, THE MATTER WOULD BE BROUGHT TO T |
|            | HE ATTENTION OF THE CHAIR OF THE BOARD. A MATTER THAT INVOLVES A MEMBER OF THE BOARD IS BR |
|            | OUGHT TO THE ATTENTION OF THE BOARD OR THE EXECUTIVE COMMITTEE IN THE ABSENCE OF A BOARD M |

EETING.

**PM**PEX NO. 157709/2021 CLERK 08/17/2021 03:06 TATA Explanation Return Reference

FORM 990. THE BOARD HAS ESTABLISHED A SUB-COMMITTEE OF THE NOMINATING AND GOVERNANCE COMMITTEE TO RE VIEW THE COMPENSATION OF THE PRESIDENT. THIS STRUCTURE WAS USED IN JUNE 2018 TO ESTABLISH PART VI. SECTION B. THE PRESIDENT'S COMPENSATION FOR FISCAL YEAR 2019 AND 2020.

LINE 15A

|                     | NEW YORK COUNTY              | CLERK US/II/ZUZI               | 03:06 PM                        |
|---------------------|------------------------------|--------------------------------|---------------------------------|
|                     | OC. NO. 43                   |                                | RECEIVED NYSCEF: 08/17/2021     |
| Return<br>Reference | 30. 1.0. 10                  | Explanation                    |                                 |
| FORM 990,           | ECOHEALTH ALLIANCE WILL MAKE | ALL OF THESE DOCUMENTS AVAILAB | BLE TO THE PUBLIC UPON REQUEST. |

99th Schedule On Europiemental Information: GT Tiby 00/17/2021 02.06 TibibEX NO 157709/2021

FORM 990, | ECOHEALTH ALLIANCE WILL MAKE ALL OF THESE DOCUMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.

PART VI, | SECTION C, | LINE 19

efregeraphic with exore Process YACFILEGREE 108/17/2021 03:06 PM SCHEDULE RNO. 43

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

(Form 990)

Department of the Treasury

Name of the organization ECOHEALTH ALLIANCE INC

Internal Revenue Service

INDEXLN:09349587130021341

**2019** 

Schedule R (Form 990) 2019

RECEIVED NYSCOMB NO 85457904721

**Employer identification number** 

31-1726494

Open to Public Inspection

## **Related Organizations and Unrelated Partnerships**

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37. ► Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33. Part I (f) (b) (d) (e) Name, address, and EIN (if applicable) of disregarded entity Legal domicile (state Total income Direct controlling End-of-year assets Primary activity or foreign country) entity Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year. (a) (d) (b) (c) (e) (g) Name, address, and EIN of related organization Primary activity Legal domicile (state Exempt Code section Public charity status Direct controlling Section 512(b) or foreign country) (if section 501(c)(3)) entity (13) controlled entity? Yes No (1) WILDLIFE PRESERVATION TR INTERNATIONAL WILDLIFE PROT NY 501 (C)3 LINE 7 **ECOHEALTH** 520 EIGHT AVENUE 1200 NEW YORK, NY 10018 23-1996716

Cat. No. 50135Y

INDEX NO. 157709ø9€0**2**21

Part 111 aldentification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form ஆஆர்களுக்கு நடிக்கும் இரு நிருக்கு இரு நடிக்கு முற்ற இரு இரு நிருக்கு நடிக்கு முற்ற இரு நிருக்கு நி one or more related organizations treated as a partnership during the tax year. (a) Name, address, and EIN of (b) (c) (d) (f) (j) (e) (g) (h) Primary Direct Share of Share of Disproprtionate Code V-UBI Percentage Legal Predominant General or related organization domicile controlling income(related, total income end-of-year allocations? amount in box managing ownership activity unrelated. 20 of (state entity assets Schedule K-1 excluded from or foreign tax under (Form 1065) sections 512country) 514) Yes No Yes No Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year. (i) Section 512(b) (13) controlled (c) (e) (g) (h) Name, address, and EIN of Primary activity Legal Direct controlling Type of entity Share of total Share of end-of-Percentage related organization domicile (C corp, S corp, entity income year ownership (state or foreign or trust) assets entity? country) Yes No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a)

Name of related organization

| ь | Gift, grant, or capital contribution to related organization(s)   | 1b         |
|---|---|------------|
|   | Girl, grant, or capital contribution to related organization(5).  | -          |
| С | Gift, grant, or capital contribution from related organization(s) | 1c         |
| d | Gift, grant, or capital contribution to related organization(s)   | <b>1</b> d |
| e | Loans or loan guarantees by related organization(s)               | 1e         |
| f | Dividends from related organization(s)                            | 1f         |

| е | Loans or loan guarantees by related organization(s)  | 1e         | N |
|---|--|------------|---|
|   |  |            |   |
| f | Dividends from related organization(s)   | <b>1</b> f | N |
| g | Sale of assets to related organization(s)  | <b>1</b> g | N |
| h | Purchase of assets from related organization(s)  | 1h         | N |
| i | Exchange of assets with related organization(s)  | 1i         | N |
|   | lease of facilities, any imports on ablest acceptance with the control of the con | 11         | N |

Yes

1a

1m

1n

10

**1**p

**1**q

1r

15

Schedule R (Form 990) 2019

(d)

Method of determining amount involved

No

No

No

No

No

No

No

No

No No

No

No

| f | Dividends from related organization(s)   | 1f         | No |
|---|--|------------|----|
| g | Sale of assets to related organization(s)  | <b>1</b> g | No |
| h | Purchase of assets from related organization(s)  | 1h         | No |
| i | Exchange of assets with related organization(s)  | 1i         | No |
| j | Lease of facilities, equipment, or other assets to related organization(s)                     | 1j         | No |
|   |  |            |    |
| k | Lease of facilities, equipment, or other assets from related organization(s)                   | 1k         | No |
| 1 | Performance of services or membership or fundraising solicitations for related organization(s) | 11         | No |

(b)

Transaction

type (a-s)

(c)

Amount involved

was not a related organization. See instructions regarding exclusion for certain investment partnerships.

Part VI F Uncelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line NYSCEF: 08/17/2021
Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that

| (a)<br>Name, address, and EIN of entity | <b>(b)</b><br>Primary activity | (c) Legal domicile (state or foreign country) | (d) Predominant income (related, unrelated, excluded from tax under sections 512- 514) |     | (e) e all partners section 501(c)(3) rganizations? | (f)<br>Share of<br>total<br>income | (g)<br>Share of<br>end-of-year<br>assets | (h)<br>Disproprtiona<br>allocations? | te | (i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065) | (j)<br>General o<br>managin<br>partner | or<br>g<br>? | <b>(k)</b><br>Percentage<br>ownership |
|---|--------------------------------|---|--|-----|--|------------------------------------|--|--------------------------------------|----|---|--|--------------|---------------------------------------|
|   |                                |   | 514)   | Yes | No   |                                    |  | Yes                                  | No |   | Yes                                    | No           |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    |   |  |              |                                       |
|   |                                |   |  |     |  |                                    |  |                                      |    | Schedul   | e R (Form                              | 1 99         | 0) 2019                               |

